

# Generalizing Schreier families to large index sets II

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# Summary of results in Banach spaces

- The Tsirelson space is a *reflexive* Banach space with no subsymmetric sequences.
- (Ketonen, 1974) Any Banach space of density equal to the first  $\omega$ -Erdős cardinal has subsymmetric sequences.
- (Odell, 1985) There is a Banach space of density  $\mathfrak{c}$  with no subsymmetric sequences.
- (Argyros, Motakis, 2014) There is a reflexive Banach space of density  $\mathfrak{c}$  with no subsymmetric sequences.
- (B., Lopez-Abad, Todorcevic) For every  $\kappa$  smaller than the first inaccessible cardinal, there is a reflexive Banach space of density  $\kappa$  with no subsymmetric sequences.
- (B., Lopez-Abad, Todorcevic) For every  $\kappa$  smaller than the first Mahlo cardinal, there is a reflexive Banach space of density  $\kappa$  with no subsymmetric sequences.

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- (B., Lopez-Abad, Todorcevic) For every  $\kappa$  smaller than the first inaccessible cardinal, there is a reflexive Banach space of density  $\kappa$  with no subsymmetric sequences.
- (B., Lopez-Abad, Todorcevic) For every  $\kappa$  smaller than the first Mahlo cardinal, there is a reflexive Banach space of density  $\kappa$  with no subsymmetric sequences.
- What is the smallest cardinal  $\kappa$  such that every (reflexive) Banach space of density at least  $\kappa$  has a subsymmetric sequence?

## First main result

Our main purpose in this talk is to give elements of the proof of the following:

Theorem 13 (B., Lopez-Abad, Todorćevic)

*For every infinite cardinal  $\kappa$  smaller than the first Mahlo cardinal, there is a CL-sequence of families on  $\kappa$ .*

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- There is a CL-sequence of families on  $\kappa$  iff there is a CL-sequence of families on any index set of cardinality  $\kappa$ .
- If there is a CL-sequence of families on  $\kappa$ , then there is a CL-sequence of families on every  $\lambda < \kappa$ .

## Multiplication of families

Given  $\mathcal{F}$  on  $\kappa$  and  $\mathcal{H}$  on  $\omega$ ,  $\mathcal{G}$  on  $\kappa$  is a multiplication of  $\mathcal{F}$  by  $\mathcal{H}$  if every infinite sequence  $(s_n)_n$  in  $\mathcal{F}$  has an infinite subsequence  $(t_n)_n$  such that, for every  $x \in \mathcal{H}$ ,  $\bigcup_{n \in x} t_n \in \mathcal{G}$ .

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## Example 14

If  $\mathcal{F}$  is a hereditary and compact family on  $\kappa$ , then  $\mathcal{G} = \mathcal{F} \sqcup \mathcal{F} \sqcup \dots \sqcup \mathcal{F}$  is a multiplication of  $\mathcal{F}$  by  $[\omega]^{\leq n}$ , where  $\mathcal{F} \sqcup \mathcal{F} = \{s \cup t : s, t \in \mathcal{F}\}$ .



## A CL-sequence on $\omega$

### Example 15

Given hereditary and compact families  $\mathcal{F}$  and  $\mathcal{F}'$  on  $\omega$ , let

$$\mathcal{F} \oplus \mathcal{F}' = \{s \cup t : s < t, s \in \mathcal{F}', t \in \mathcal{F}\},$$

$$\mathcal{F} \otimes \mathcal{F}' = \left\{ \bigcup_{k < n} s_k : n \in \omega, s_k < s_{k+1}, s_k \in \mathcal{F}, \{\min s_k : k < n\} \in \mathcal{F}' \right\},$$

and notice that  $\mathcal{G} = (\mathcal{F} \otimes \mathcal{S}) \oplus \mathcal{F}$  is a multiplication of  $\mathcal{F}$  by  $\mathcal{S}$ .

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Define inductively:

- $\mathcal{F}_0 = \mathcal{S}$ ;
- $\mathcal{F}_{n+1} = (\mathcal{S}_n \otimes \mathcal{S}) \oplus \mathcal{S}_n$ .

$(\mathcal{F}_n)_n$  is a CL-sequence of families on  $\omega$ .

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For a fixed set  $P$ , we will consider multiplications on chains of families on  $P$  with respect to some partial order, but also with respect to any total order on  $P$ . In this case, we will say “multiplication” instead of “multiplication on chains”.

## Some warming up: passing from $\kappa$ to $2^\kappa$

Given a cardinal  $\kappa$ , let  $T = 2^{\leq \kappa}$  be the complete binary tree of height  $\kappa + 1$  and let  $<$  denote the usual partial order on  $T$  and  $ht : T \rightarrow \kappa + 1$  be the height function.

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- (ii) If  $\mathcal{G}$  is a multiplication on chains of  $\mathcal{F}$  by some  $\mathcal{H}$  on  $\omega$ , then  $\mathcal{C}_{\mathcal{G}}$  is a multiplication on chains of  $\mathcal{C}_{\mathcal{F}}$  by  $\mathcal{H}$ .*

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Our goal now is to pass from multiplications on chains of  $(T, <)$  to multiplications on  $T$  (with any total order), meaning that the multiplication of a family  $\mathcal{F}$  on  $T$  must contain many unions of elements of  $\mathcal{F}$  and not only unions within some chain.

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### Corollary 19

*For every cardinal  $\kappa$  below the first inaccessible, there is a CL-sequence  $(\mathcal{F}_n)_n$  of families on  $\kappa$ .*

## Stepping up below the first Mahlo cardinal

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Notice that being a chain in  $(T, <_a)$  means being a particular type of antichain with respect to  $<$  (which we denote by  $<_c$  from now on): a subset of immediate successors of a single node of  $T$ .

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### Lemma 20

*Any infinite set  $X$  of a tree  $T$  contains either an infinite chain, or an infinite comb, or an infinite fan.*



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*Any infinite set  $X$  of a tree  $T$  contains either an infinite chain, or an infinite comb, or an infinite fan. Hence, any infinite subtree  $\tau$  of a tree  $T$  contains either an infinite chain, or an infinite fan.*

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Proof.

If follows from Ramsey Theorem. □

# Combinatorial analysis

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## Proof.

Hereditariness is clear. For compactity, let  $(\tau_k)_k$  be a sequence in  $\mathcal{A} \odot \mathcal{C}$ . It is enough to assume that  $\tau_k$ 's are subtrees. Assume it converges to some infinite set  $\tau$ , which has to be a subtree.

- If  $\tau$  has an infinite chain  $C$ , then  $(\tau_k \cap C)_k$  which would converge to  $C$ , contradicting the compactness of  $\mathcal{C}$ .
- If  $\tau$  contains an infinite fan  $F$  with root  $u$ , then  $(Is''_u \tau_k)_k$  would converge to  $Is''_u F$ , contradicting the compactness of  $\mathcal{A}$ .



# Combinatorial analysis

## Theorem 21

*If  $\mathcal{A}$  and  $\mathcal{C}$  are hereditary and compact, then so is  $\mathcal{A} \odot \mathcal{C}$ .*

## Proof.

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- If  $\tau$  contains an infinite fan  $F$  with root  $u$ , then  $(Is''_u \tau_k)_k$  would converge to  $Is''_u F$ , contradicting the compactness of  $\mathcal{A}$ .



Now, if  $\mathcal{A}_1$  and  $\mathcal{C}_1$  are a multiplication of  $\mathcal{A}_0$  and  $\mathcal{C}_0$  by  $\mathcal{S}$  respectively, then we want to find a multiplication of  $\mathcal{A}_0 \odot \mathcal{C}_0$  by  $\mathcal{S}$ . The following result is needed...

## Theorem 22 (Canonical form of sequences of subtrees)

Suppose that  $(\tau_k)_k$  is a sequence of finite subtrees of  $T$ . Then there is a subsequence  $(\tau_k)_{k \in M}$  which is a  $\Delta$ -system of root  $\bar{\rho}$  such that

(1) For every  $i \neq j$  and  $k \neq l$  in  $M$  one has that

$$\tau_\infty := (\tau_i, \tau_j)_\infty = (\tau_k, \tau_l)_\infty,$$

where  $(\tau_i, \tau_j)_\infty$  is the set of maximal elements  $u$  of  $\bar{\rho}$  with the property that there are  $v \in \langle \tau_i \cup \tau_j \rangle$ ,  $t_0 \in \tau_0 \setminus \tau_1$  and  $t_1 \in \tau_1 \setminus \tau_0$  with  $u \leq v \leq t_0, t_1$ .

(2) Let  $u \in \tau_\infty$ . For each  $i < j$  let  $\varpi_{i,j}(u)$  be the (unique) maximal  $v \in \langle \tau_i \cup \tau_j \rangle$  with the property that there are  $t_0 \in \tau_0 \setminus \tau_1$  and  $t_1 \in \tau_1 \setminus \tau_0$  with  $u \leq v \leq t_0, t_1$ . Then  $\varpi_i(u) := \varpi_{i,j}(u) = \varpi_{i,k}(u)$  for every  $i < j < k$ , and  $\varpi_i(u) \leq \varpi_j(u)$  for every  $i \leq j$ . Moreover, one of the following holds.

(2.1)  $\varpi_i(u) < \varpi_j(u)$  for every  $i < j$  and  $\varpi_i(u) \notin \bigcup_k \tau_k$  for every  $i < j$ .

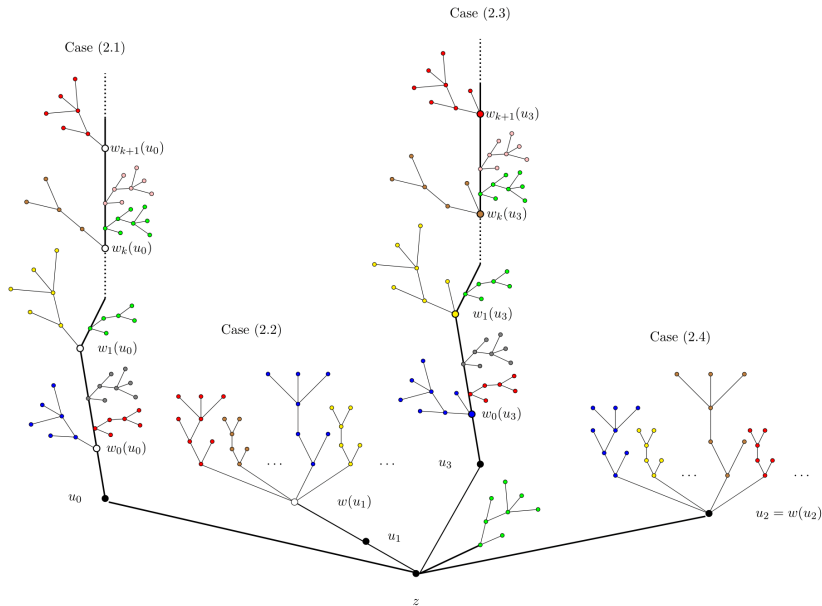
(2.2)  $\varpi_i(u) = \varpi_j(u) \notin \bigcup_k \tau_k$  for every  $i$ .

(2.3)  $\varpi_i(u) < \varpi_j(u)$  and  $\varpi_i(u) \in \tau_i \setminus \bar{\rho}$  for every  $i < j$ .

(2.4)  $u = \varpi_i(u) = \varpi_j(u) \in \bar{\rho}$  for every  $i < j$ .

In other words, if  $(\tau_k)_k$  is a sequence of finite subtrees of  $T$ , there is a subsequence  $(\tau_k)_{k \in M}$  which is a  $\Delta$ -system of root being black points and...

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### Theorem 23

*If  $\mathcal{A}_1$  and  $\mathcal{C}_1$  are a multiplication of  $\mathcal{A}_0$  and  $\mathcal{C}_0$  by  $\mathcal{S}$  respectively, then  $(\mathcal{A}_1 \sqcup_a [T]^{\leq 1}) \odot (\mathcal{C}_1 \sqcup_c \mathcal{C}_1 \sqcup_c \mathcal{C}_1 \sqcup_c \mathcal{C}_1)$  is a multiplication of  $\mathcal{A}_0 \odot \mathcal{C}_0$  by  $\mathcal{S}$*

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## Corollary 24

*If there are CL-sequences on chains of  $(T, <_c)$  and of  $(T, <_a)$ , then there is a CL-sequence on  $T$  (with any total order).*

## First main result

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### Theorem 25 (Todorćević)

*For every strongly inaccessible cardinal  $\kappa$ ,  $\kappa$  is Mahlo cardinal iff there is no special  $\kappa$ -Aronszajn tree, ie. a tree  $(T, <)$  of height  $\kappa$  with no cofinal branches, levels have size  $< \kappa$  and there is  $f : T \rightarrow T$  satisfying:*

- (1)  $f(t) < t$  for  $t \in T$  except of the root;*
- (2) for all  $t \in T$ ,  $f^{-1}(\{t\})$  is the union of fewer than  $\kappa$  many antichains.*

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### Theorem 26

*If  $T$  is a special  $\kappa$ -Aronszajn tree and there is a CL-sequence of families on every  $\lambda < \kappa$ , then there is a CL-sequence of families on  $T$ .*