

*κ -strong sequences and the existence of generalized
independent families*

Joanna Jureczko

Cardinal Stefan Wyszyński University
in Warsaw (Poland)

Winter School in Abstract Analysis
section Set Theory and Topology
Hejnice, 2016

Let T be an infinite set. Denote *the Cantor cube* by

$$D^T = \{p: p: T \rightarrow \{0, 1\}\}.$$

For $s \subset T$, $i: s \rightarrow \{0, 1\}$ it will be used the following notation

$$H_s^i = \{p \in D^T : p|s = i\}.$$

Efimov defined strong sequences in the subbase $\{H_{\{\alpha\}}^i : \alpha \in T \text{ and } i: \{\alpha\} \rightarrow \{0, 1\}\}$ of the Cantor cube

Let T be an infinite set. Denote *the Cantor cube* by

$$D^T = \{p: p: T \rightarrow \{0, 1\}\}.$$

For $s \subset T$, $i: s \rightarrow \{0, 1\}$ it will be used the following notation

$$H_s^i = \{p \in D^T : p|s = i\}.$$

Efimov defined strong sequences in the subbase $\{H_{\{\alpha\}}^i : \alpha \in T \text{ and } i: \{\alpha\} \rightarrow \{0, 1\}\}$ of the Cantor cube

Definition

A pair (H_s^i, H_v^j) where $|s| < \omega$ is called a connected pair if $H_s^i \cap H_v^j \neq \emptyset$

Let T be an infinite set. Denote *the Cantor cube* by

$$D^T = \{p: p: T \rightarrow \{0, 1\}\}.$$

For $s \subset T$, $i: s \rightarrow \{0, 1\}$ it will be used the following notation

$$H_s^i = \{p \in D^T : p|s = i\}.$$

Efimov defined strong sequences in the subbase $\{H_{\{\alpha\}}^i : \alpha \in T \text{ and } i: \{\alpha\} \rightarrow \{0, 1\}\}$ of the Cantor cube

Definition

A pair (H_s^i, H_v^j) where $|s| < \omega$ is called a connected pair if $H_s^i \cap H_v^j \neq \emptyset$

Definition

A sequence $(H_{s_\alpha}^{i_\alpha}, H_{v_\alpha}^{j_\alpha})$ consisting of connected pairs is called a strong sequence if $H_{s_\alpha}^{i_\alpha} \cap H_{v_\beta}^{j_\beta} = \emptyset$ whenever $\alpha < \beta$.

Theorem (Efimov, 1965)

Let κ be a regular, uncountable cardinal number. In the space D^T a strong sequence

$$(H_{S_\alpha}^\alpha, H_{V_\alpha}^\alpha), \alpha < \kappa$$

such that $|s_\alpha| < \omega$ and $|v_\alpha| < \kappa$ for each $\alpha < \kappa$ does not exist.

Let X be a set, and let $\mathcal{B} \subset P(X)$ be a family of non-empty subsets of X closed under finite intersections.

We say that a family $\mathcal{C} \subset \mathcal{B}$ is *centered* iff $\bigcap \mathcal{F} \neq \emptyset$ for each finite subfamily $\mathcal{F} \subset \mathcal{C}$.

Let S be a finite subfamily contained in \mathcal{B} and $H \subseteq \mathcal{B}$. A pair (S, H) , will be called *connected* if $S \cup H$ is centered.

Let X be a set, and let $\mathcal{B} \subset P(X)$ be a family of non-empty subsets of X closed under finite intersections.

We say that a family $\mathcal{C} \subset \mathcal{B}$ is *centered* iff $\bigcap \mathcal{F} \neq \emptyset$ for each finite subfamily $\mathcal{F} \subset \mathcal{C}$.

Let S be a finite subfamily contained in \mathcal{B} and $H \subseteq \mathcal{B}$. A pair (S, H) , will be called *connected* if $S \cup H$ is centered.

Definition

A sequence (S_ϕ, H_ϕ) ; $\phi < \alpha$ consisting of connected pairs is called a *strong sequence* if for all λ , in the range $\phi < \lambda < \alpha$, a family $S_\lambda \cup H_\phi$ is not centered.

Theorem (Turzański, 1992)

If for $\mathcal{B} \subset P(X)$ there exists a strong sequence $(S_\phi, H_\phi); \phi < (\kappa^\lambda)^+$ such that $|H_\phi| \leq \kappa$ for each $\phi < (\kappa^\lambda)^+$, then the family \mathcal{B} contains a subfamily of cardinality λ^+ consisting of pairwise disjoint sets.

Let (X, r) be a set with relation r . (We sometimes write X instead of (X, r) in the situation when it is obvious which relation r is being used).

Let $a, b, c \in X$.

We say that a and b are *compatible* iff there exists c such that

$$(a, c) \in r \text{ and } (b, c) \in r.$$

(We say that a, b have a *bound*).

A set $A \subset X$ is called a κ -*directed* set iff every subset of X of cardinality less than κ has a bound.

Definition

Let (X, r) be a set with a relation r .

A sequence $(H_\phi)_{\phi < \alpha}$, where $H_\phi \subset X$, is called a κ -strong sequence if:

1^o H_ϕ is κ -directed for all $\phi < \alpha$

2^o $H_\psi \cup H_\phi$ is not κ -directed for all $\phi < \psi < \alpha$.

Definition

Let (X, r) be a set with a relation r .

A sequence $(H_\phi)_{\phi < \alpha}$, where $H_\phi \subset X$, is called a κ -strong sequence if:

1^o H_ϕ is κ -directed for all $\phi < \alpha$

2^o $H_\psi \cup H_\phi$ is not κ -directed for all $\phi < \psi < \alpha$.

Theorem (JJ)

Let κ, μ, τ be regular cardinal numbers with $\kappa, \mu < \tau$. If for a set (X, r) of cardinality at least τ there is a κ -strong sequence $\{H_\alpha \subset X : \alpha < \tau\}$ with $|H_\alpha| < \tau$, then there exists a strong sequence $\{T_\alpha : \alpha < \mu\}$ such that $T_\alpha \subset H_\alpha$ and $|T_\alpha| < \kappa$, $\alpha < \mu$.

Theorem (JJ)

Let κ, μ, τ be three cardinals such that $\kappa, \mu < \tau$. Let (X, r) , $|X| \geq \tau$ be a set with relation r . Then either X contains a set of cardinality μ which consists of pairwise incompatible elements or X contains a κ -directed subset of cardinality τ .

We need to assume that $\mathcal{A} \subset P(X)$ is *closed under taking κ -intersections* i.e. for all $\mathcal{A}' \subset \mathcal{A}$ such that $\mathcal{A}' < \kappa$ we have $\bigcap \mathcal{A}' \in \mathcal{A}$. We introduce below a generalization of a centered family. In the literature, one can find a definition of a *σ -centered family* which says that it is a countable union of centered families. However, we need a different definition of generalized centered family. In order to avoid a confusion, we introduce the following definition.

We need to assume that $\mathcal{A} \subset P(X)$ is *closed under taking κ -intersections* i.e. for all $\mathcal{A}' \subset \mathcal{A}$ such that $|\mathcal{A}'| < \kappa$ we have $\bigcap \mathcal{A}' \in \mathcal{A}$. We introduce below a generalization of a centered family. In the literature, one can find a definition of a σ -centered family which says that it is a countable union of centered families. However, we need a different definition of generalized centered family. In order to avoid a confusion, we introduce the following definition.

Definition

Let κ, τ be cardinals with $\kappa < \tau$. A family of sets $\mathcal{A} \subset P(X)$, with $|\mathcal{A}| \geq \tau$, is called a κ -vaulted family iff for each subfamily $\mathcal{B} \subset \mathcal{A}$ of cardinality less than κ we have $\bigcap \mathcal{B} \neq \emptyset$.

Theorem (JJ)

Let κ, μ, τ be cardinals with $\kappa, \mu < \tau$. Let $\mathcal{A} \subset P(X)$ be a family of sets with $|\mathcal{A}| \geq \tau$ closed under taking κ -intersections. Then \mathcal{A} contains a subfamily of cardinality μ that consists of pairwise disjoint sets or \mathcal{A} contains a κ -vaulted family of cardinality τ .

Theorem (JJ)

Let κ, μ, τ be cardinals with $\kappa, \mu < \tau$. Let $\mathcal{A} \subset P(X)$ be a family of sets with $|\mathcal{A}| \geq \tau$ closed under taking κ -intersections. Then \mathcal{A} contains a subfamily of cardinality μ that consists of pairwise disjoint sets or \mathcal{A} contains a κ -vaulted family of cardinality τ .

Proof.

Let $\mathcal{A} = \{A_\gamma : \gamma < \tau\}$ be a family as it is required in theorem. Define a partial ordered set $\mathcal{P} = \{\gamma < \tau : A_\gamma \in \mathcal{A}\}$ with the following relation.

$$(\gamma, \beta) \in r \Leftrightarrow A_\gamma \subset A_\beta.$$

If γ, β are incompatible, then $A_\gamma \cap A_\beta = \emptyset$. According to previous theorem the proof is complete. □

Definition

A family $\{(A_\xi^0, A_\xi^1) : \xi < \alpha\}$ of ordered pairs of subsets of X such that $A_\xi^0 \cap A_\xi^1 = \emptyset$ for $\xi < \alpha$ is called *an independent family* (σ -*independent family*) (of length α) if for each finite (countable) set $F \subset \alpha$ and each function $i : F \rightarrow \{0, 1\}$ we have that

$$\bigcap \{A_\xi^{i(\xi)} : \xi \in F\} \neq \emptyset.$$

Definition

Let $\mathcal{I} = \{\{I_\alpha^\beta : \beta < \lambda_\alpha\} : \alpha < \tau\}$ be a family of partitions of infinite set S with each $\lambda_\alpha \geq 2$ and let κ, λ, θ be cardinals. If for any $J \in [\tau]^{<\theta}$ and for any $f \in \prod_{\alpha \in J} \lambda_\alpha$ the intersection $\bigcap \{I_\alpha^{f(\alpha)} : \alpha \in J\}$ has cardinality at least κ , then \mathcal{I} is called (θ, κ) -generalized independent family on S . Moreover, if $\lambda_\alpha = \lambda$ for all $\alpha < \tau$, then \mathcal{I} is called a $(\theta, \kappa, \lambda)$ -generalized independent family on S .

We give below some notions of generalized independent families:.

1. An independent family is $(\omega, 1)$ -generalized independent family.
2. A σ -independent family is $(\omega_1, 1)$ -generalized independent family.

Theorem (Elser, 2011)

Let $\lambda, \theta, (\lambda \geq \theta)$. On every set with at least $\lambda^{<\theta}$ elements there exists a $(\theta, 1, \lambda)$ -generalized independent family of cardinality 2^λ .

We denote by $S(X)$ the smallest cardinal κ such that every family of pairwise disjoint nonempty open sets has size less than κ .

Theorem (JJ)

Let κ, τ ($\kappa < \tau$) be cardinals with $\kappa \geq S(X)$. Let $\mathcal{A} \subset P(X)$ be a family of sets ($|\mathcal{A}| \geq \tau$) closed under taking κ -intersections. Then there exists a $(\kappa, 1)$ -generalized independent family of cardinality τ .

Definition

Let μ, κ be two cardinals with $\aleph_0 \leq \kappa \leq \mu$ and $\{X_i\}_{i \in \mu}$ be a family of topological spaces. Then $\square_{i \in \mu}^{\kappa} X_i$ denotes the κ -box product which is induced on the full cartesian product $\prod_{i \in \mu} X_i$ by the canonical base

$$\mathcal{B} = \left\{ \bigcap_{i \in I} pr_i^{-1}(U_i) : I \in P_{<\kappa}(\mu) \text{ and } U_i \text{ is open in } X_i \right\},$$

where $P_{<\kappa}(\mu) := \{I \subset \mu : |I| < \kappa\}$.

Theorem (Hu, 2006)

Let \mathcal{I} be a $(\theta, 1)$ -generalized independent family on a set S and let $\{X_\alpha\}_{\alpha < \tau}$ be a family of topological spaces such that $d(X_\alpha) \leq \lambda_\alpha$ for all $\alpha < \tau$. Then $d(\square_{\alpha \in \theta}^{\tau} X_\alpha) \leq |S|$.

Corollary (JJ)

Let κ, θ, τ ($\kappa < \tau$) be cardinals with $\kappa \geq S(X)$ and let S be a set. Let $\mathcal{A} \subset P(X)$ be a family of sets ($|\mathcal{A}| \geq \tau$) closed under taking κ -intersections and let $\{X_\alpha\}_{\alpha < \tau}$ be a family of topological spaces such that $d(X_\alpha) \leq \lambda_\alpha$ for all $\alpha < \tau$. Then $d(\square_{\alpha \in \theta}^\tau(X_\alpha)) \leq |S|$.

Definition

Let κ, λ, θ be three cardinals. Let S be an infinite set of the cardinality κ . The cardinal $i(\theta, \kappa, \lambda)$ is the smallest cardinal τ such that there are no $(\theta, 1, \lambda)$ -generalized independent families on S of size τ .

We introduce the following invariant

$$\hat{s}_\kappa = \sup\{\alpha : \text{there exists a } \kappa\text{-strong sequence of size } \alpha\}.$$

Theorem (JJ)

Let κ, λ, θ be three cardinals with $\kappa < \theta$. Let S be a set with $|S| \geq \theta$. Then $\hat{s}_{|S|} \leq i(\theta, |S|, \lambda)$.

Theorem (Hu, 2006)

Let S be a set and let λ, τ, θ be three cardinals with θ infinite. Then the following are equivalent

- 1) $\tau < i(\theta, |S|, \lambda)$*
- 2) $d(\square_{\theta}^{\tau})(X_{\alpha}) \leq |S|$ holds for any family of topological spaces $\{X_{\alpha}\}_{\alpha < \tau}$ with each $d(X_{\alpha}) \leq \lambda$.*

Theorem (Hu, 2006)

Let S be a set and let λ, τ, θ be three cardinals with θ infinite. Then the following are equivalent

- 1) $\tau < i(\theta, |S|, \lambda)$
- 2) $d(\square_{\theta}^{\tau})(X_{\alpha}) \leq |S|$ holds for any family of topological spaces $\{X_{\alpha}\}_{\alpha < \tau}$ with each $d(X_{\alpha}) \leq \lambda$.

Corollary (JJ)

Let S be a set and let λ, θ be three cardinals with θ infinite. Then the following are equivalent

- 1) $\hat{s}_{|S|} \leq i(\theta, |S|, \lambda)$
- 2) $d(\square_{\theta}^{\hat{s}_{|S|}}(X_{\alpha})) \leq |S|$ holds for any family of topological spaces $\{X_{\alpha}\}_{\alpha < \hat{s}_{|S|}}$, with each $d(X_{\alpha}) \leq \lambda$.

The main bibliography

The main bibliography

- J. JURECZKO, M. TURZAŃSKI, 2008 *From a Ramsey-type theorem to independence*, Acta Univ. Car. Math et Phy. **49**, **2** (2008), 47-55.

The main bibliography

- J. JURECZKO, M. TURZAŃSKI, 2008 *From a Ramsey-type theorem to independence*, Acta Univ. Car. Math et Phy. **49**, **2** (2008), 47-55.
- W. HU, 2006, *Generalized independent families and dense sets of Box-Product spaces*, App. Gen. Top. **7(2)**, (2006), 203-209.

The main bibliography

- J. JURECZKO, M. TURZAŃSKI, 2008 *From a Ramsey-type theorem to independence*, Acta Univ. Car. Math et Phy. **49**, **2** (2008), 47-55.
- W. HU, 2006, *Generalized independent families and dense sets of Box-Product spaces*, App. Gen. Top. **7(2)**, (2006), 203-209.
- S. O. ELSER, 2011, *Density of κ -Box Products and the existence of generalized independent families*, App. Gen. Top., **12(2)** (2011), 221-225.

The main bibliography

- J. JURECZKO, M. TURZAŃSKI, 2008 *From a Ramsey-type theorem to independence*, Acta Univ. Car. Math et Phy. **49**, **2** (2008), 47-55.
- W. HU, 2006, *Generalized independent families and dense sets of Box-Product spaces*, App. Gen. Top. **7(2)**, (2006), 203-209.
- S. O. ELSER, 2011, *Density of κ -Box Products and the existence of generalized independent families*, App. Gen. Top., **12(2)** (2011), 221-225.
- J. JURECZKO, *κ -strong sequences and the existence of generalized independent families*, preprint.

THANK YOU FOR YOUR ATTENTION