

# Effective Descriptive Set Theory

what it is about

Lecture 2, Effective Borel, analytic and co-analytic pointsets

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# Outline

Lecture 1. Recursion in Polish spaces

Lecture 2. Effective Borel, analytic and co-analytic pointsets

Lecture 3. Structure theory for pointclasses

- Definitions and basic facts in the first lecture:
  - **Recursive Polish space** — just **space** from now on
  - **Pointset**: a subset  $P \subseteq \mathcal{X}$  of a space
  - **Pointclass**: a collection  $\Gamma$  of pointsets,  $\Gamma(\mathcal{X}) = \{P \subseteq \mathcal{X} : P \in \Gamma\}$
  - $\Sigma_1^0$ : the pointclass of **semirecursive pointsets**
  - **Locally recursive partial functions**  $f : \mathcal{X} \rightarrow \mathcal{Y}$
  - The **points** of  $\Gamma$ :  $y \in \Gamma \iff \mathcal{U}(y) = \{s : y \in N_s(\mathcal{Y})\} \in \Gamma(\mathbb{N})$
  - ★ The **Refined Surjection Theorem**
  - ★ **Parametrized pointclasses**, the 2nd Recursion Theorem
  - The **Kleene calculus** for local recursion, the 2nd Recursion Theorem

## Two basic facts from Lecture 1

- If a pointclass  $\Gamma$  is **parametrized**, then
  - (1)  $\Gamma$  is closed under total recursive substitutions, and
  - (2) every  $\Gamma(\mathcal{X})$  has a **parametrization**, a pointset  $G \in \Gamma(\mathcal{N} \times \mathcal{X})$  such that for every  $P \in \Gamma(\mathcal{N} \times \mathcal{X})$ , there is a total recursive  $S^P : \mathcal{N} \rightarrow \mathcal{N}$  satisfying  $P(\alpha, x) \iff G(S^P(\alpha), x)$

$\Rightarrow$  2nd RT:  $P \in \Gamma(\mathcal{N} \times \mathcal{X}) \implies (\exists \text{ recursive } \tilde{\varepsilon}) \ P(\tilde{\varepsilon}, x) \iff G(\tilde{\varepsilon}, x)$

- **Refined Surjection Theorem** For every space  $\mathcal{X}$ , there is a total recursive function  $\pi : \mathcal{N} \rightarrow \mathcal{X}$  and a  $\Pi_1^0$  set  $F \subseteq \mathcal{N}$  such that

$\pi$  is one-to-one on  $F$ ,  $\pi[F] = \mathcal{X}$ ,

and  $\{(x, s) : \pi^{-1}(x) \in N_s(\mathcal{N}) \cap F\}$  is  $\Sigma_2^0$

- Used to prove results for  $\mathcal{N}$  and then **transfer** them to all  $\mathcal{X}$

## Relativized and boldface versions of pointclasses

If  $\Gamma$  is parametrized, then:

- The **relativization**  $\Gamma[x]$  of  $\Gamma$  to a point  $x \in \mathcal{X}$  is the pointclass of all  $x$ -**sections** of pointsets in  $\Gamma$ ,

$$\Gamma[x](\mathcal{Y}) = \{P_x \subseteq \mathcal{Y} : P \in \Gamma(\mathcal{X} \times \mathcal{Y})\},$$

where  $P_x(y) \iff P(x, y)$  ( $\alpha \in \Sigma_1^0[\beta] \iff \alpha$  is recursive in  $\beta$ )

$\Rightarrow$  Each  $\Gamma[x]$  is parametrized

- The **boldface version**  $\mathbf{\Gamma}$  of  $\Gamma$  is the union of all its relativizations,

$$\mathbf{\Gamma} = \bigcup_{x \in \mathcal{X}} \Gamma[x] = \bigcup_{\varepsilon \in \mathcal{N}} \Gamma[\varepsilon]$$

- The **ambiguous** (self-dual) pointclass of  $\Gamma$  is  $\Delta = \Gamma \cap \neg\Gamma$ ; this is not in general parametrized, and (by definition)

$$\Delta[x] = \Gamma[x] \cap \neg\Gamma[x], \quad \mathbf{\Delta} = \mathbf{\Gamma} \cap \neg\mathbf{\Gamma}$$

## The analytical and projective pointclasses

- The arithmetical pointclasses are defined by induction on  $k \geq 1$ :

$$\Sigma_1^0, \quad \Pi_k^0 = \neg \Sigma_k^0, \quad \Sigma_{k+1}^0 = \exists^{\mathbb{N}} \Pi_k^0, \quad \Delta_k^0 = \Sigma_k^0 \cap \Pi_k^0$$

- The **Borel pointclasses of finite order** are their boldface versions

$$\Sigma_k^0, \quad \Pi_k^0, \quad \Delta_k^0 = \Sigma_k^0 \cap \Pi_k^0$$

- The **analytical pointclasses** are defined by induction on  $k \geq 1$ :

$$\Sigma_1^1 = \exists^{\mathcal{N}} \Pi_2^0, \quad \Pi_k^1 = \neg \Sigma_k^1, \quad \Sigma_{k+1}^1 = \exists^{\mathcal{N}} \Pi_k^1, \quad \Delta_k^1 = \Sigma_k^1 \cap \Pi_k^1$$

$$\Sigma_1^1(\mathcal{X}) : P(x) \iff (\exists \alpha)(\forall t)Q(x, \alpha, t) \quad \text{with } Q \in \Sigma_1^0(\mathcal{X} \times \mathcal{N} \times \mathbb{N})$$

$$\Pi_1^1(\mathcal{X}) : P(x) \iff (\forall \alpha)(\exists t)Q(x, \alpha, t) \quad \text{with } Q \in \Pi_1^0(\mathcal{X} \times \mathcal{N} \times \mathbb{N})$$

- The (classical) **projective pointclasses** are their boldface versions,

$$\Sigma_k^1, \quad \Pi_k^1, \quad \Delta_k^1 = \Sigma_k^1 \cap \Pi_k^1$$

$$\Pi_1^1 : P(x) \iff (\forall \alpha)(\exists t)Q(\varepsilon, x, \alpha, t) \quad (Q \in \Pi_1^0, \text{ some } \varepsilon \in \mathcal{N})$$

# Elementary properties of the analytical pointclasses

$\Rightarrow \Sigma_k^1, \Pi_k^1, \Delta_k^1$  are closed under recursive substitutions,  $\&$ ,  $\vee$ ,  $\exists^{\mathbb{N}}$ ,  $\forall^{\mathbb{N}}$

•  $\alpha \mapsto \alpha^* = (\lambda t)\alpha(t+1)$ ,  $(i, \alpha) \mapsto (\alpha)_i = (\lambda t)\alpha(\langle i, t \rangle)$  are recursive

$\Rightarrow \Sigma_k^1$  is closed under  $\exists^{\mathcal{Y}}$ ,  $\Pi_k^1$  is closed under  $\forall^{\mathcal{Y}}$ ,  $\Delta_k^1$  is closed under  $\neg$   
(the proof uses the recursive surjection  $\pi : \mathcal{N} \rightarrow \mathcal{Y}$ )

$\Rightarrow y \in \Delta_k^1[x] \iff y \in \Sigma_k^1[x] \iff$  the singleton  $\{y\}$  is in  $\Sigma_k^1[x]$

**Theorem** For all  $k \geq 1$  and  $x$ ,  $\Pi_k^1, \Pi_1^1[x], \Sigma_k^1$  and  $\Sigma_1^1[x]$  are *parametrized*

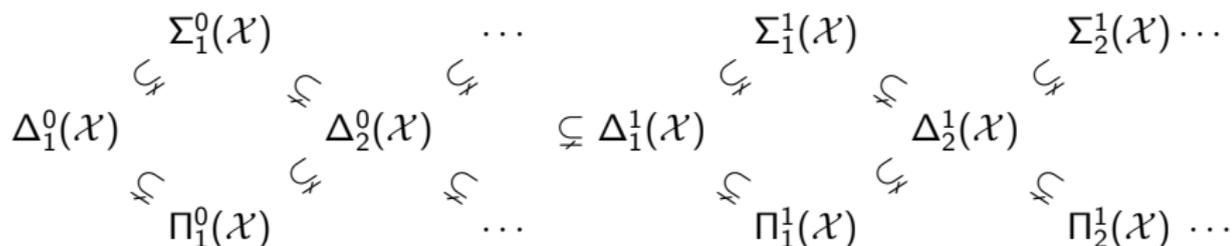
$\Rightarrow P \in \Pi_k^1(\mathcal{X}) \iff P$  is a section  $G_\alpha$  of  $G$ ;  $\alpha$  is a  $\Pi_k^1$ -code of  $P$

$\Rightarrow \Pi_k^1(\mathcal{X})$  is *uniformly closed* under countable unions;

i.e., for some recursive  $u : \mathcal{N} \rightarrow \mathcal{N}$ ,  $\boxed{\bigcup_i G_{(\alpha)_i} = G_{u(\alpha)}}$

*Proof.* Set  $P(\alpha, x) \iff (\exists i)G((\alpha)_i, x)$  and take  $u(\alpha) = S^P(\alpha)$

# The arithmetical and analytical hierarchies



The **Hierarchy Theorem** for infinite  $\mathcal{X}$

$\Rightarrow$  In fact, for perfect  $\mathcal{X}$  and every  $k \geq 1$ ,

$$\Sigma_k^1(\mathcal{X}) \setminus \Delta_k^1(\mathcal{X}) \neq \emptyset$$

- **Classical regularity results:** Every  $\Sigma_1^1$  set  $P \subseteq \mathcal{R}$  is Lebesgue measurable; it has the property of Baire; and if it is uncountable, then it has a non-empty perfect subset
- This is most of what can be proved about projective pointsets and the analytical and projective pointclasses in ZFC

## The limits of ZFC in Descriptive Set Theory

- An almost complete theory was developed in 1905 - 1938 for the classical pointclasses

$$\Sigma_1^1 \text{ (analytic)}, \quad \Pi_1^1 \text{ (co-analytic)} \quad \text{and} \quad \Sigma_2^1 \text{ (PCA)}$$

and the pointsets in them, and effective versions of these results were quickly proved in the late 50's

- But this is as far as you can go in ZFC, for example
  - in Gödel's  $L$  there is an uncountable  $\Sigma_2^1$  set of real numbers which is not Lebesgue measurable, does not have the property of Baire and has no non-empty perfect subset (Gödel 1938, Addison 1959), and
  - there are forcing models of ZFC in which all projective sets of real numbers have these regularity properties (Solovay 1970, assuming an inaccessible)

## Determinacy and large cardinal hypotheses

- In the period 1966 - (roughly) 1990, all the basic facts about  $\Sigma_1^1$ ,  $\Pi_1^1$  and  $\Sigma_2^1$  were extended to all the projective pointclasses on the basis of **large cardinal hypotheses**
- A key step was the introduction in 1967 of **determinacy** (game theoretic) **hypotheses** which were used to establish these results; in 1988 it was shown by Martin, Steel and Woodin that these hypotheses follow from the existence of **Woodin cardinals**
- *The use of effective methods is essential in the derivation of consequences of **projective determinacy***—a fact which encouraged the development of EDST
- In the sequel we will formulate and derive some of the basic results about  $\Sigma_1^1$ ,  $\Pi_1^1$ ,  $\Delta_1^1$  and their boldface versions  $\Sigma_1^1$ ,  $\Pi_1^1$ ,  $\Delta_1^1$  on the basis of ZF+DC (the Axiom of Depended Choices)
- Whenever it is possible, we will use methods which can be used to extend these results to many other pointclasses

## ★ Borel and hyperarithmetical pointsets

- $\mathbf{B}(\mathcal{X})$  is the smallest family of subsets of  $\mathcal{X}$  which contains all the open sets and is closed under complements and countable unions
- To get the effective **lightface version** of  $\mathbf{B}(\mathcal{X})$ , we **code**  $\mathbf{B}(\mathcal{X})$  in  $\mathcal{N}$ :

**Def** Set  $K_1 = \{\alpha : \alpha(0) = 0\}$  and for each  $\xi > 1$ , by recursion

$$K_\xi = K_1 \cup \left\{ \alpha : \alpha(0) \neq 0 \ \& \ (\forall n) \left[ (\alpha^*)_n \in \bigcup_{\eta < \xi} K_\eta \right] \right\} \quad (\xi > 1)$$

**Def** For each  $\mathcal{X}$ , fix a parametrization  $G^1 \subseteq \mathcal{N} \times \mathcal{X}$  of  $\Sigma_1^0(\mathcal{X})$  and set

$$B_{\alpha, \xi}^{\mathcal{X}} = \begin{cases} G_{\alpha^*}^1 = \{x : G^1(\alpha^*, x)\}, & \text{if } \alpha(0) = 0, \\ \bigcup_i \left( \mathcal{X} \setminus B_{(\alpha^*)_i, \eta(i)}^{\mathcal{X}} \right), & \text{otherwise,} \end{cases}$$

where  $\eta(i) =$  least  $\eta$  so that  $(\alpha^*)_i \in K_\eta$

$\Rightarrow \alpha \in (K_\xi \cap K_\zeta) \implies B_{\alpha, \xi}^{\mathcal{X}} = B_{\alpha, \zeta}^{\mathcal{X}} = B_\alpha^{\mathcal{X}}; \quad \text{set } K = \bigcup_\xi K_\xi$

$\Rightarrow$   $A \in \mathbf{B}(\mathcal{X}) \iff A = B_\alpha^{\mathcal{X}} \text{ for some } \alpha \in K$

**Def**  $A \in \mathbf{HYP}(\mathcal{X}) \iff A = B_\alpha^{\mathcal{X}} \text{ for some recursive } \alpha \in K$

## Coded sets and uniformities

**Def** A **coding** of a set  $A$  on  $I \subseteq \mathcal{N}$  is any surjection  $\pi : I \rightarrow A$ , and a **coded set** is any pair  $(A, \pi)$  of a set and a coding of it

- $\Pi_1^1(\mathcal{X})$  on  $\mathcal{N}$  by  $\alpha \mapsto G_\alpha$ , with  $G$  a parametrization of  $\Pi_1^1(\mathcal{X})$
- $\Delta_1^1(\mathcal{X})$  on  $\{\alpha \in \mathcal{N} : G_{(\alpha)_0} = \mathcal{X} \setminus G_{(\alpha)_1}\}$  by  $\alpha \mapsto G_{(\alpha)_0}$  (same  $G$ )
- $\mathbf{B}(\mathcal{X})$  on  $\mathbf{K}$  by  $\alpha \mapsto B_\alpha^\mathcal{X}$

$\Rightarrow \mathbf{B}(\mathcal{X})$  is **uniformly closed** under complementation, i.e., there is a locally recursive  $u : \mathcal{N} \rightarrow \mathcal{N}$  such that

$$\alpha \in \mathbf{K} \implies \left( u(\alpha) \downarrow \ \& \ u(\alpha) \in \mathbf{K} \ \& \ B_{u(\alpha)}^\mathcal{X} = \mathcal{X} \setminus B_\alpha^\mathcal{X} \right)$$

*Proof.* Let  $v(\alpha) = (\lambda n)\alpha((n)_1)$ ; then  $v(\alpha)(\langle i, t \rangle) = \alpha(t)$  for all  $t$ , so

$$\alpha \in \mathbf{K} \implies (\forall i)[(v(\alpha))_i = \alpha \in \mathbf{K}] \implies B_{(v(\alpha))_i}^\mathcal{X} = B_\alpha^\mathcal{X}$$

and we can set  $u(\alpha) = \langle 1 \rangle \wedge v(\alpha)$  • In this case, the **uniformity**  $u$  is total

## Hyperarithmetical (effectively Borel) pointsets

- Each  $\mathbf{B}(\mathcal{X})$  is coded on  $\mathbf{K}$  by  $\alpha \mapsto B_\alpha^{\mathcal{X}}$

**Def** A pointset  $P \subseteq \mathcal{X}$  is **hyperarithmetical** (effectively Borel) if it has a recursive Borel code, i.e.,  $P = B_\alpha^{\mathcal{X}}$  with a recursive  $\alpha$

- $\text{HYP}(\mathcal{X})$  is coded on  $\{\alpha \in \mathbf{K} : \alpha \text{ is recursive}\}$  by  $\alpha \mapsto B_\alpha^{\mathcal{X}}$

$\Rightarrow$  The coded pointclass  $\mathbf{B}$  is uniformly closed under  $\&, \vee, \neg, \exists^{\mathbb{N}}, \forall^{\mathbb{N}}$ , **continuous substitutions** and **countable unions**

$\Rightarrow$  The coded pointclass  $\text{HYP}$  is uniformly closed under  $\&, \vee, \neg, \exists^{\mathbb{N}}, \forall^{\mathbb{N}}$ , **recursive substitutions** and **recursive countable unions**

$\Rightarrow$  These facts hold independently of the choice of a parametrization of  $\Sigma_1^0(\mathcal{X})$  used to define the map  $\alpha \mapsto B_\alpha^{\mathcal{X}}$ , because different choices produce (suitably defined) **equivalent codings**

## ★ The easy half of the Suslin-Kleene Theorem

**Theorem** For each  $\mathcal{X}$ ,  $\mathbf{B}(\mathcal{X}) \subseteq \mathbf{\Delta}_1^1(\mathcal{X})$  uniformly,  
i.e., there is a locally recursive  $u : \mathcal{N} \rightarrow \mathcal{N}$  such that

$$(*) \quad \alpha \in \mathbf{K} \implies \left( u(\alpha) \downarrow \text{ \& } u(\alpha) \text{ is a } \mathbf{\Delta}_1^1(\mathcal{X})\text{-code of } B_\alpha^{\mathcal{X}} \right)$$

*Proof.* Define first a locally recursive  $v : \mathcal{N} \times \mathcal{N} \rightarrow \mathcal{N}$  such that

$$\begin{aligned} (\forall i)[\{\varepsilon\}^{\mathcal{N} \rightarrow \mathcal{N}}(\alpha)(i) \downarrow \text{ and is a } \mathbf{\Delta}_1^1\text{-code of } A_i \subseteq \mathcal{X}] \\ \implies \left( v(\varepsilon, \alpha) \downarrow \text{ and is a } \mathbf{\Delta}_1^1(\mathcal{X})\text{-code of } \bigcup_i (\mathcal{X} \setminus A_i) \right) \end{aligned}$$

Set  $u(\alpha) = \{\tilde{\varepsilon}\}(\alpha)$ , where by the 2nd RT for partial functions

$$\{\tilde{\varepsilon}\}(\alpha) = \begin{cases} \text{a } \mathbf{\Delta}_1^1(\mathcal{X})\text{-code of } G_{\alpha^*}^1, & \text{if } \alpha(0) = 0, \\ v(\tilde{\varepsilon}, \alpha^*) & \text{otherwise} \end{cases}$$

Proof of (\*) is by induction on *the least*  $\xi$  such that  $\alpha \in \mathbf{K}_\xi$

- **Effective transfinite recursion**, the most basic tool of EDST

## ★ The Suslin-Kleene Theorem

**Theorem** For each  $\mathcal{X}$ ,  $\mathbf{\Delta}_1^1(\mathcal{X}) \subseteq \mathbf{B}(\mathcal{X})$  uniformly  
i.e., there is a locally recursive  $u : \mathcal{N} \rightarrow \mathcal{N}$  such that

if  $\alpha$  is a  $\mathbf{\Delta}_1^1$ -code of  $A \subseteq \mathcal{X}$ , then  $(u(\alpha) \downarrow, u(\alpha) \in K \ \& \ A = B_{u(\alpha)}^{\mathcal{X}})$

$\Rightarrow$  (Suslin 1916) For every  $\mathcal{X}$ ,  $\mathbf{\Delta}_1^1(\mathcal{X}) = \mathbf{B}(\mathcal{X})$  **Constructive proof!**

$\Rightarrow$  (Kleene 1955)  $\mathbf{\Delta}_1^1(\mathbb{N}) = \text{HYP}(\mathbb{N})$  uniformly (with his codings)

- There are several proofs. They all first prove the result for  $\mathcal{N}$  using *Effective Transfinite Recursion* and the *Normal Form Theorem* for  $\Pi_1^1(\mathcal{N})$  pointsets (coming up next) and then they appeal to the *Refined Surjection Theorem*

$\Rightarrow$  (**Classical Corollary**, may or may not be interesting) There is a  $G_\delta$  set  $C \subseteq \mathcal{N}$  and a continuous  $u : C \rightarrow \mathcal{N}$  such that

if  $\alpha$  is a  $\mathbf{\Delta}_1^1$ -code of  $A \subseteq \mathcal{X}$ , then  $(\alpha \in C \ \& \ A = B_{u(\alpha)}^{\mathcal{X}})$

- No proof of this is known which does not use effective methods **but ...**

## ★ The Normal Form Theorems for $\Pi_1^1(\mathcal{N})$ , $\Sigma_1^1(\mathcal{N})$

**Theorem** If  $P \in \Pi_1^1(\mathcal{N})$ , then for some recursive  $R \subseteq \mathbb{N} \times \mathbb{N}$

$$P(\alpha) \iff (\forall \beta)(\exists t)R(\bar{\alpha}(t), \bar{\beta}(t))$$

where  $\bar{\alpha}(t) = \langle \alpha(0), \dots, \alpha(t-1) \rangle = 2^{\alpha(0)+1} \dots p_{t-1}^{\alpha(t-1)+1}$

is the **sequence code** of  $(\alpha(0), \dots, \alpha(t-1))$

– because if  $Q \in \Sigma_1^0(\mathcal{N}^2)$ , then  $Q(\alpha, \beta) \iff (\exists t)R(\bar{\alpha}(t), \bar{\beta}(t))$

**Theorem** If  $P \in \Sigma_1^1(\mathcal{N})$ , then for some recursive  $R \subseteq \mathbb{N} \times \mathbb{N}$

$$\alpha \in P \iff (\exists \beta)(\forall t)R(\bar{\alpha}(t), \bar{\beta}(t))$$

and so

$$P = \text{proj}[C] \text{ with } C = \{(\alpha, \beta) : (\forall t)R(\bar{\alpha}(t), \bar{\beta}(t))\} \text{ in } \Pi_1^0$$

so that, in particular,  $C$  is closed

- Similar equivalences (trivially) hold for  $\Pi_1^1[\varepsilon](\mathcal{N}^n)$  and  $\Sigma_1^1[\varepsilon](\mathcal{N}^n)$

# The Effective Perfect Set Theorem

**Theorem (Suslin 1916)** *Every uncountable  $\Sigma_1^1$  pointset has a non-empty perfect subset (and so has cardinality  $2^{\aleph_0}$ )*

- This was previously proved for Borel sets by Hausdorff and Alexandroff (independently) and was a big deal at the time

It is the strongest result about the Continuum Hypothesis which can be proved in ZFC

**Theorem (Harrison 1967)** *If  $A \in \Sigma_1^1[x](\mathcal{Y})$  and  $A$  has a member  $y \notin \Delta_1^1[x]$ , then  $A$  has a non-empty perfect subset*

- Recall that

$$y \in \Delta_1^1[x] \iff \mathcal{U}(y) = \{s : x \in N_s(\mathcal{Y})\} \in \Delta_1^1[x](\mathbb{N}),$$

and  $\Delta_1^1[x](\mathbb{N})$  is countable, so  $\{y : y \in \Delta_1^1[x]\}$  is countable, and Harrison's Theorem implies—and “explains”—Suslin's result

## Plan for proving the

**Effective Perfect Set Theorem** *If  $A \in \Sigma_1^1[x](\mathcal{Y})$  and  $A$  has a member  $y \notin \Delta_1^1[x]$ , then  $A$  has a non-empty perfect subset*

**Lemma 1** *If  $A \in \Sigma_1^1[x](\mathcal{Y})$ ,  $A \neq \emptyset$  and  $A$  has no  $\Delta_1^1[x]$  member, then  $A$  has a non-empty perfect subset*

- Proof on the next slide, basically a proof of the classical theorem

**Lemma 2 (Upper classification of  $\Delta_1^1[x]$ )** *For each point  $x$ , the pointset set  $\{y \in \mathcal{Y} : y \in \Delta_1^1[x]\}$  is  $\Pi_1^1[x]$*

- We will derive Lemma 2 from some basic results of the effective theory in the next lecture
- *Proof of the Theorem from the two lemmas.* If  $A \subseteq \mathcal{Y}$  is  $\Sigma_1^1[x]$  and has at least one member not in  $\Delta_1^1[x]$ , then, by Lemma 2,  $A \setminus \{y \in \mathcal{Y} : y \in \Delta_1^1[x]\}$  is  $\Sigma_1^1[x]$ , not empty and has no  $\Delta_1^1[x]$  member; and so it has a non-empty perfect subset by Lemma 1

## Proof of Lemma 1 for $\mathcal{N}$

**Lemma** If  $A \in \Sigma_1^1[\varepsilon](\mathcal{N})$ ,  $A \neq \emptyset$  and  $A$  has no  $\Delta_1^1[\varepsilon]$  member, then  $A$  has a non-empty, **compact perfect subset**

- By the Normal Form Theorem for  $\Sigma_1^1[\varepsilon](\mathcal{N})$ ,

$$A = \text{proj}(C) \text{ with } C \subseteq \mathcal{N} \times \mathcal{N} \text{ in } \Pi_1^0[\varepsilon]$$

For any pair  $w = (\pi_1(w), \pi_2(w))$  of sequence codes, let

$$C_w = \{(\alpha, \beta) \in C : (\exists t)[\pi_1(w) = \bar{\alpha}(t) \ \& \ \pi_2(w) = \bar{\beta}(t)]\} \in \Pi_1^0(\mathcal{N}^2)$$

$\Rightarrow$   $\text{proj}(C_w)$  is never a singleton; because if  $\text{proj}(C_w) = \{\alpha_0\}$ , then

$$\alpha = \alpha_0 \iff (\exists \beta)[(\alpha, \beta) \in C_w] \text{ and so } \alpha_0 \text{ is } \Delta_1^1[\varepsilon]$$

- For any  $w = (\pi_1(w), \pi_2(w))$ , choose  $w^0, w^1$  such that

$$\text{proj}(C_w) \neq \emptyset \implies \left( \text{proj}(C_{w^0}) \neq \emptyset, \text{proj}(C_{w^1}) \neq \emptyset, \right.$$

$$\left. \text{proj}(C_{w^0}) \cup \text{proj}(C_{w^1}) \subset \text{proj}(C_w), \text{proj}(C_{w^0}) \cap \text{proj}(C_{w^1}) = \emptyset \right)$$

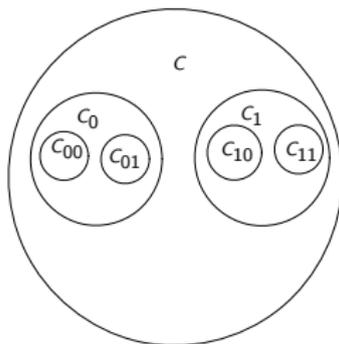
$A = \text{proj}(C)$  with  $C \subseteq \mathcal{N} \times \mathcal{N}$  in  $\Pi_1^0[\varepsilon]$

$C_w = \{(\alpha, \beta) \in C : (\exists t)[\pi_1(w) = \bar{\alpha}(t), \pi_2(w) = \bar{\beta}(t)] \in \Pi_1^0(\mathcal{N}^2)$

$\text{proj}(C_w) \neq \emptyset \implies (\text{proj}(C_{w^0}) \neq \emptyset, \text{proj}(C_{w^1}) \neq \emptyset$

$\text{proj}(C_{w^0}) \cup \text{proj}(C_{w^1}) \subset \text{proj}(C_w)$ , and  $\text{proj}(C_{w^0}) \cap \text{proj}(C_{w^1}) = \emptyset$ )

- For each code  $w = \langle w_0, w_1, \dots, w_k \rangle$  of a binary sequence, define  $C_w$  so that  $C_{\langle \rangle} = C$ ,  $C_{w^* \langle 0 \rangle} = C_{w^0}$ ,  $C_{w^* \langle 1 \rangle} = C_{w^1}$



- $\bigcup_{\gamma: \mathbb{N} \rightarrow \{0,1\}} \bigcap_t C_{\bar{\gamma}(t)}$  is the required compact, perfect subset of  $\text{proj}(C)$