

Forcing when there are Large Cardinals

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1. What are large cardinals?
2. Forcings which preserve large cardinals (failure of GCH at a measurable)
3. Forcings which destroy large cardinals, but do something interesting (Singular Cardinal Hypothesis)
4. Some open questions

What are large cardinals?

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$$\kappa > \aleph_0$$

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κ is *measurable* iff:

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\exists nonprincipal, κ -complete ultrafilter on κ

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Idea: κ is “large” iff κ is the critical point of an embedding

$j : V \rightarrow M$ where M is “large”

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However: κ could be λ -hypermeasurable for all λ (i.e., the critical point of embeddings with arbitrary degrees of hypermeasurability)

Forcings that preserve large cardinals

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If G^* belongs to $V[G]$ then κ is still measurable (and maybe more)
in $V[G]$

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An example: Making GCH fail at a measurable cardinal

Theorem

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Better choice: $\text{Sacks}(\kappa, \kappa^{++})$

Adds κ^{++} -many κ -Sacks subsets of κ (defined later)

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Solution: Force not just at κ , but at all inaccessible $\alpha \leq \kappa$, via an iteration

$$P = P(\alpha_0) * P(\alpha_1) * \cdots * P(\kappa)$$

where $P(\alpha)$ denotes α -Cohen forcing.

Let $C(\alpha_0) * C(\alpha_1) * \cdots * C(\kappa)$ denote the P -generic

Forcings that preserve large cardinals

Now we want to lift $j : V \rightarrow M$ to

$$j^* : V[C(\alpha_0) * C(\alpha_1) * \cdots * C(\kappa)] \rightarrow \\ M[C^*(\alpha_0) * C^*(\alpha_1) * \cdots * C^*(\kappa) * C^*(\beta_0) * C^*(\beta_1) * \cdots * C^*(j(\kappa))]$$

where the β_i 's are the inaccessibles of M between κ and $j(\kappa)$.

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Set $C^*(\alpha) = C(\alpha)$ for $\alpha < \kappa$

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Last lift: Take $C^*(j(\kappa))$ to be any generic for $j(\kappa)$ -Cohen forcing of $M[C^*(\alpha_0) * C^*(\alpha_1) * \cdots * C^*(\kappa) * C^*(\beta_0) * C^*(\beta_1) * \cdots]$ containing the condition $C(\kappa) = C^*(\kappa)$ (such generics exist).

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All goes well until the last lift: we *can* choose $C^*(\gamma)$ for all M -inaccessible $\gamma < j(\kappa)$ and lift $j : V \rightarrow M$ to

$j' : V[C(\alpha_0) * C(\alpha_1) * \dots] \rightarrow$

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We then need to find a generic for the $\text{Cohen}(j(\kappa), j(\kappa^{++}))$ -forcing of $M[C^*(\alpha_0) * C^*(\alpha_1) * \dots * C^*(\kappa) * C^*(\beta_0) * C^*(\beta_1) * \dots]$

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which contains $j'[C(\kappa)]$.

But $\text{Cohen}(j(\kappa), j(\kappa^{++}))$ is a very big forcing (it may have no generic; we may have to force one!) and $j'[C(\kappa)]$ is a very complicated set of conditions in this forcing (it is not easy to force a generic that contains it!)

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Lemma

(Tuning Fork Lemma) Suppose that $j : V \rightarrow M$ has critical point κ and g is κ -Sacks generic. Then in $V[g]$ there are exactly two generics h_0, h_1 for the $j(\kappa)$ -Sacks of M extending g ; moreover $h_0(\kappa) = 0$ and $h_1(\kappa) = 1$.

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A similar result holds for $\text{Sacks}(\kappa, \kappa^{++})$, thereby solving the problem of the “last lift”.

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(with Magidor) Assume GCH, let κ be measurable and let α be any cardinal at most κ^{++} . Then there is a cofinality-preserving forcing extension in which there are exactly α -many normal measures on κ .

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(with Dobrinen) Assume GCH and let κ be κ^{++} -hypermeasurable. Then there is a forcing extension in which κ is still measurable and the tree property holds at κ^{++} .

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(with Zdomskyy) Assume GCH and let κ be κ^{++} -hypermeasurable. Then there is a cofinality-preserving forcing extension in which κ is still measurable and the symmetric group on κ has cofinality κ^{++} .

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Theorem

(Prikry) Suppose that κ is measurable and the GCH fails at κ . Then in a forcing extension, κ is still a strong limit cardinal where the GCH fails, but now κ has cofinality ω . In particular, the SCH fails in this forcing extension.

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Prikry forcing: A forcing that preserves cardinals, adds no new bounded subsets of κ but adds an ω -sequence cofinal in κ

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Conditions in Prikry forcing:

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(b) P is κ^+ -cc: If $(s, A), (t, B)$ are conditions and $s = t$ then (s, A) and (t, B) are compatible.

Forcings which use large cardinals: The SCH

The main lemma about Prikry forcing is the following. We say that (t, B) is a *direct extension* of (s, A) iff $s = t$ and B is a subset of A .

Lemma (The Prikry property)

For σ a sentence of the forcing language, every condition has a direct extension which decides σ (i.e., either forces σ or $\sim \sigma$).

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Proof. Suppose that (s, A) is a condition and define $h : [A]^{<\omega} \rightarrow 2$ as follows:

$h(t) = 1$ iff $(s \cup t, B) \Vdash \sigma$ for some B

$h(t) = 0$ otherwise.

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As U is normal there is $A^* \in U$ which is *homogeneous* for h : For each n and $t_1, t_2 \in [A^*]^n$, $h(t_1) = h(t_2)$.

Forcings which use large cardinals: The SCH

Lemma (The Prikry property)

For σ a sentence of the forcing language, every condition has a direct extension which decides σ (i.e., either forces σ or $\sim \sigma$).

Proof. Suppose that (s, A) is a condition and define $h : [A]^{<\omega} \rightarrow 2$ as follows:

$h(t) = 1$ iff $(s \cup t, B) \Vdash \sigma$ for some B

$h(t) = 0$ otherwise.

As U is normal there is $A^* \in U$ which is *homogeneous* for h : For each n and $t_1, t_2 \in [A^*]^n$, $h(t_1) = h(t_2)$. Then (s, A^*) decides σ : Otherwise there would be $(s \cup t_1, B_1), (s \cup t_2, B_2)$ extending (s, A^*) which force $\sigma, \sim \sigma$, respectively. We can assume that for some n , both t_1 and t_2 belong to $[A^*]^n$. But then $h(t_1) = 0, h(t_2) = 1$, contradicting homogeneity. \square

Forcings which use large cardinals: The SCH

Corollary: P does not add new bounded subsets of κ .

Proof. Suppose $(s, A) \Vdash \dot{a}$ is a subset of λ , where λ is less than κ . Set $(s, A_0) = (s, A)$ and using the Prirky property choose a direct extension (s, A_1) of (s, A_0) which decides " $0 \in \dot{a}$ ". Then choose a direct extension (s, A_2) of (s, A_1) which decides " $1 \in \dot{a}$ ", etc. After λ steps we have a direct extension (s, A_λ) of (s, A) which decides which ordinals less than λ belong to \dot{a} , and therefore forces \dot{a} to belong to the ground model. \square

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In summary: If G is P -generic then κ has cofinality ω in $V[G]$ and $V, V[G]$ have the same cardinals and bounded subsets of κ . In particular, if GCH fails at κ in V , then in $V[G]$, κ is a singular strong limit cardinal where the GCH fails.

Forcings which use large cardinals: The SCH

An improvement: Model where \aleph_ω is strong limit and the GCH fails at \aleph_ω

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Suppose that $\alpha < \beta$ are regular. Then $\text{Lévy}(\alpha, \beta)$ is a forcing that makes β into α^+ and otherwise preserves cardinals:

$p \in \text{Lévy}(\alpha, \beta)$ iff p is partial function of size $< \alpha$ from $\alpha \times \beta$ to β such that $p(\alpha_0, \beta_0) < \beta_0$ for each (α_0, β_0) in the domain of p .

Forcings which use large cardinals: The SCH

Collapsing Prikry forcing: 1st try

Fix a normal measure U on κ . A condition is of the form $((\alpha_0, p_0), (\alpha_1, p_1), \dots, (\alpha_{n-1}, p_{n-1}), A)$ where:

$\alpha_0 < \alpha_1 < \dots < \alpha_{n-1} < \kappa$ are inaccessible

p_i belongs to $\text{Lévy}(\alpha_i, \alpha_{i+1})$ for $i < n - 1$

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Problem: This collapses κ to ω (the p_i 's are running wild!)

Solution: Control the p_i 's on a measure one set

Forcings which use large cardinals: The SCH

Collapsing Prikry forcing: 2nd try

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The forcing is κ^+ -cc. But why isn't κ collapsed?

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Now start with κ measurable and GCH failing at κ .

Then Prikry Collapse forcing makes κ into \aleph_ω with \aleph_ω strong limit, GCH failing at \aleph_ω (Strong failure of the SCH)

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Consider various cardinal characteristics of the continuum (almost-disjointness number, bounding number, dominating number, splitting number, ...)

How do these behave at a large cardinal?

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Is it consistent that a strongly compact cardinal have a unique normal measure?

Is it consistent with a supercompact cardinal for $H(\kappa^+)$ to have a definable wellordering for every uncountable κ ?

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