

# Constructing special almost disjoint families

Dilip Raghavan

National University of Singapore

Winter School in Abstract Analysis,  
International Center for Spiritual Rehabilitation, Hejnice  
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# Outline

- 1 A completely separable family from  $\mathfrak{s} \leq \mathfrak{a}$
- 2 A completely separable family from  $\mathfrak{c} < \aleph_\omega$

## Building a completely separable family

### Theorem (Mildenberger, R., and Steprans [1])

*If  $\mathfrak{s} \leq \alpha$ , then there is a completely separable family.*

- The basic framework is contained in this proof. It is also the simplest.
- Easy to see that a completely separable family exists if  $\alpha = \mathfrak{c}$ .
- (Balcar, Simon, Vojtas): They exist if any one of these holds:  
 $\mathfrak{s} = \omega_1$ ,  $\mathfrak{b} = \mathfrak{d}$ , or  $\mathfrak{d} \leq \alpha$ .
- The hypothesis  $\mathfrak{s} \leq \alpha$  is weaker than all of the above.

## Building a completely separable family

- $\mathcal{F} \subset \mathcal{P}(\omega)$  is said to be  $(\omega, \omega)$ -*splitting* if for each collection  $\{b_n : n \in \omega\} \subset [\omega]^\omega$ , there exists  $a \in \mathcal{F}$  such that  $\exists^\infty n \in \omega [a \cap b_n = \omega]$  and  $\exists^\infty n \in \omega [(\omega \setminus a) \cap b_n = \omega]$ .

### Definition

$\mathfrak{s}_{\omega, \omega} = \min\{|\mathcal{F}| : \mathcal{F} \subset \mathcal{P}(\omega) \wedge \mathcal{F} \text{ is } (\omega, \omega) \text{ - splitting}\}.$

- Note that  $\mathfrak{s} \leq \mathfrak{s}_{\omega, \omega}$  is clear.

## Building a completely separable family

### Lemma

$\mathfrak{s} = \mathfrak{s}_{\omega, \omega}$ .

### Proof.

Case 1:  $\mathfrak{s} < \mathfrak{b}$ . Let  $\langle e_\alpha : \alpha < \kappa \rangle$  be a splitting family. Suppose it is not  $(\omega, \omega)$ -splitting. Fix  $\{b_n : n \in \omega\}$  witnessing this. In other words, for each  $\alpha < \kappa$  there is  $i_\alpha \in 2$  such that  $\forall^\infty n \in \omega \left[ |b_n \cap e_\alpha^{i_\alpha}| < \omega \right]$ . WLOG, the  $b_n$  are pairwise disjoint. Now, for each  $\alpha < \mathfrak{s}$  define  $f_\alpha \in \omega^\omega$  as follows:

$$f_\alpha(n) = \begin{cases} \sup(b_n \cap e_\alpha^{i_\alpha}) & \text{if } |b_n \cap e_\alpha^{i_\alpha}| < \omega \\ 0 & \text{otherwise} \end{cases}$$

## Building a completely separable family

### Proof.

By hypothesis the first case occurs for all but finitely many  $n$ . Since  $\mathfrak{s} < \mathfrak{b}$ , find  $f \in \omega^\omega$  such that  $\forall \alpha < \mathfrak{s} [f_\alpha \leq^* f]$ . Choose  $k_n \in b_n$  such that  $k_n > f(n)$ . Then  $\{k_n : n \in \omega\}$  is an infinite set not split by any  $e_\alpha$ .

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Case 2:  $\mathfrak{b} \leq \mathfrak{s}$ . Proof by picture on the board.

⊥

## Building a completely separable family

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Case 2:  $\mathfrak{b} \leq \mathfrak{s}$ . Proof by picture on the board. -1

### Lemma

*If  $\langle e_\alpha : \alpha < \mathfrak{s} \rangle$  is  $(\omega, \omega)$ -splitting, then for any infinite a.d. family  $\mathcal{A} \subset [\omega]^\omega$  and for any  $b \in I^+(\mathcal{A})$ , there is  $\alpha < \mathfrak{s}$  such that  $b \cap e_\alpha^0 \in I^+(\mathcal{A})$  and  $b \cap e_\alpha^1 \in I^+(\mathcal{A})$ .*

## Building a completely separable family

### Lemma

Let  $\langle e_\alpha : \alpha < \kappa \rangle$  witness  $\kappa = s_{\omega, \omega}$ . Let  $\mathcal{A} \subset [\omega]^\omega$  be any a.d. family. Then for each  $b \in I^+(\mathcal{A})$ , there is an  $\alpha < \kappa$  such that  $b \cap e_\alpha^0 \in I^+(\mathcal{A})$  and  $b \cap e_\alpha^1 \in I^+(\mathcal{A})$ .

### Proof.

We may assume that there exist an infinite set  $\{a_n : n \in \omega\} \subset \mathcal{A}$  such that  $\forall n \in \omega [ |a_n \cap b| = \omega ]$  (otherwise it is easy). Let  $\alpha < \kappa$  be such that  $\exists^\infty n \in \omega [ |e_\alpha^0 \cap a_n \cap b| = \omega ]$  and  $\exists^\infty n \in \omega [ |e_\alpha^1 \cap a_n \cap b| = \omega ]$ .  $\alpha$  is as needed. -1

## Building a completely separable family

- Say  $\kappa = \mathfrak{s} = \mathfrak{s}_{\omega, \omega}$  and say  $\langle x_\alpha : \alpha < \kappa \rangle$  is an  $(\omega, \omega)$ -splitting family.

## Building a completely separable family

- Say  $\kappa = \mathfrak{s} = \mathfrak{s}_{\omega, \omega}$  and say  $\langle x_\alpha : \alpha < \kappa \rangle$  is an  $(\omega, \omega)$ -splitting family.
- Construct  $\langle a_\alpha : \alpha < \mathfrak{c} \rangle$  and  $\langle \sigma_\alpha : \alpha < \mathfrak{c} \rangle \subset 2^{<\kappa}$  such that:
  - 1  $\forall \alpha < \mathfrak{c} \forall \xi < \text{dom}(\sigma_\alpha) [a_\alpha \subset^* x_\xi^{\sigma_\alpha(\xi)}]$ ;
  - 2  $\forall \alpha < \beta < \mathfrak{c} [\sigma_\alpha \neq \sigma_\beta]$ .
- Observe that if  $\alpha \neq \beta$ , then by (2),  $a_\alpha$  and  $a_\beta$  are a.d. *unless*  $\sigma_\alpha$  and  $\sigma_\beta$  are comparable.

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- Observe that if  $\alpha \neq \beta$ , then by (2),  $a_\alpha$  and  $a_\beta$  are a.d. *unless*  $\sigma_\alpha$  and  $\sigma_\beta$  are comparable.
- Main point: At a stage  $\delta < \mathfrak{c}$   $\mathcal{A}_\delta = \{a_\alpha : \alpha < \delta\}$  is “nowhere MAD” – i.e. if  $b \in \mathcal{I}^+(\{a_\alpha : \alpha < \delta\})$ , then there is  $a \in [b]^\omega$  such that  $\forall \alpha < \delta [|a \cap a_\alpha| < \omega]$  (and also a node  $\sigma$  associated with  $a$ ).

## Building a completely separable family

- If  $b \in \mathcal{I}^+(\mathcal{A}_\delta)$ , then look for least  $\alpha_0 < \kappa$  such that  $b \cap x_{\alpha_0}^0 \in \mathcal{I}^+(\mathcal{A}_\delta)$  and  $b \cap x_{\alpha_0}^1 \in \mathcal{I}^+(\mathcal{A}_\delta)$ .
- There is a unique  $\tau_0 \in 2^{\alpha_0}$  such that

$$\forall \xi < \alpha_0 \forall i \in 2 \left[ \tau_0(\xi) = i \leftrightarrow b \cap x_\xi^i \in \mathcal{I}^+(\mathcal{A}_\delta) \right].$$

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- Proceeding in the same way one can build two sequences  $\langle \alpha_s : s \in 2^{<\omega} \rangle \subset \kappa$  and  $\langle \tau_s : s \in 2^{<\omega} \rangle \subset 2^{<\kappa}$  such that:
  - (3)  $\forall s \in 2^{<\omega} \forall i \in 2 \left[ \alpha_s = \text{dom}(\tau_s) \wedge \alpha_{s \smallfrown \langle i \rangle} > \alpha_s \wedge \tau_{s \smallfrown \langle i \rangle} \supset \tau_s \smallfrown \langle i \rangle \right]$ ;
  - (4) for each  $s \in 2^{<\omega}$  and for each  $\xi < \alpha_s$ ,  $x_\xi^{1-\tau_s(\xi)} \cap b \cap \left( \bigcap_{t \subseteq s} x_{\alpha_t}^{\tau_s(\alpha_t)} \right) \in \mathcal{I}(\mathcal{A}_\delta)$ ;
  - (5) for each  $s \in 2^{<\omega}$ , both  $x_{\alpha_s}^0 \cap b \cap \left( \bigcap_{t \subseteq s} x_{\alpha_t}^{\tau_s(\alpha_t)} \right) \in \mathcal{I}^+(\mathcal{A}_\delta)$  and  $x_{\alpha_s}^1 \cap b \cap \left( \bigcap_{t \subseteq s} x_{\alpha_t}^{\tau_s(\alpha_t)} \right) \in \mathcal{I}^+(\mathcal{A}_\delta)$ .

## Building a completely separable family

- For each  $f \in 2^\omega$ , put  $\alpha_f = \sup \{ \alpha_{(f \upharpoonright n)} : n \in \omega \}$  and  $\tau_f = \bigcup_{n \in \omega} \tau_{(f \upharpoonright n)}$ .
- Note  $\alpha_f < \kappa$ .

## Building a completely separable family

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- Note  $\alpha_f < \kappa$ .
- Find  $f \in 2^\omega$  such that  $\tau_f \notin \{ \sigma \in 2^{<\kappa} : \exists \alpha < \delta [\sigma \subset \sigma_\alpha] \}$ .
- $e \in [b]^\omega \cap \mathcal{I}^+(\mathcal{A}_\delta)$  such that  $\forall n \in \omega [e \subset^* e_n]$ , where 
$$e_n = b \cap \left( \bigcap_{m < n} \mathcal{X}_{\alpha_{(f \upharpoonright m)}}^{\tau_{(f \upharpoonright m)}} \right).$$

## Building a completely separable family

- For any  $\xi < \alpha_f$ , there is  $F_\xi \in [\delta]^{<\omega}$  such that

$$\left(x_\xi^{1-\tau_f(\xi)} \cap e\right) \subset^* \left(\bigcup_{\alpha \in F_\xi} a_\alpha\right).$$

- Consider  $\mathcal{F} = \bigcup_{\xi < \alpha_f} F_\xi$  and  $\mathcal{G} = \{\alpha < \delta : \sigma_\alpha \subset \tau_f\}$ .
- $|\mathcal{F} \cup \mathcal{G}| < \kappa \leq \mathfrak{a}$ .

## Building a completely separable family

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- $|\mathcal{F} \cup \mathcal{G}| < \kappa \leq \alpha$ .
- So there is  $a \in [e]^\omega$  such that  $\forall \alpha \in \mathcal{F} \cup \mathcal{G} [|a \cap a_\alpha| < \omega]$ .

## Building a completely separable family

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- Consider  $\mathcal{F} = \bigcup_{\xi < \alpha_f} F_\xi$  and  $\mathcal{G} = \{\alpha < \delta : \sigma_\alpha \subset \tau_f\}$ .
- $|\mathcal{F} \cup \mathcal{G}| < \kappa \leq \aleph$ .
- So there is  $a \in [e]^\omega$  such that  $\forall \alpha \in \mathcal{F} \cup \mathcal{G} [|a \cap a_\alpha| < \omega]$ .
- Now  $a$  and  $\sigma_f$  are as needed:
  - 1 If  $\alpha \in \mathcal{G}$ , then  $a$  and  $a_\alpha$  are a.d. by choice.
  - 2 If  $\alpha \notin \mathcal{G}$ , then  $a_\alpha$  and  $a$  are a.d. because  $\forall \xi < \alpha_f [a \subset^* x_\xi^{\sigma_f(\xi)}]$ .

## The case $\mathfrak{a} < \mathfrak{s}$

- When  $\mathfrak{a}$  is small,  $\mathfrak{b}$  is also small.
- Key point: there is a small collection of sets that splits any set of a specific form (even though there are no small splitting families).

## The case $\mathfrak{a} < \mathfrak{s}$

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- Key point: there is a small collection of sets that splits any set of a specific form (even though there are no small splitting families).

### Lemma

Let  $\langle c_n : n \in \omega \rangle$  be pairwise disjoint elements of  $[\omega]^\omega$ . Then there is a collection  $\langle x_\alpha : \alpha < \mathfrak{b} \rangle \subset \mathcal{P}(\omega)$  such that for any  $b \in [\omega]^\omega$  and any infinite a.d. family  $\mathcal{A} \subset [\omega]^\omega$ , if for all  $n \in \omega$  and for all  $f \in \omega^\omega$ ,

$\bigcup_{m \geq n} \{k \in b \cap c_m : k > f(m)\} \in I^+(\mathcal{A})$ , then there is  $\alpha < \mathfrak{b}$  such that  $x_\alpha^0 \cap b \in I^+(\mathcal{A})$  and  $x_\alpha^1 \cap b \in I^+(\mathcal{A})$ .

## The case $\aleph_\alpha < \aleph_\beta$

### Proof.

Fix a  $<^*$ -increasing everywhere unbounded family  $\langle f_\alpha : \alpha < \aleph_\beta \rangle \subset \omega^\omega$ . For each  $\alpha < \aleph_\beta$  and  $n \in \omega$ , let  $x_{\alpha,n} = \{k \in \omega : k \leq f_\alpha(n)\}$ . Let  $x_\alpha = \bigcup_{n \in \omega} x_{\alpha,n}$ . Why does this work?

## The case $\aleph < \aleph$

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Fix a  $<^*$ -increasing everywhere unbounded family  $\langle f_\alpha : \alpha < \mathfrak{b} \rangle \subset \omega^\omega$ . For each  $\alpha < \mathfrak{b}$  and  $n \in \omega$ , let  $x_{\alpha,n} = \{k \in c_n : k \leq f_\alpha(n)\}$ . Let  $x_\alpha = \bigcup_{n \in \omega} x_{\alpha,n}$ . Why does this work? Take any  $b \in [\omega]^\omega$  and any infinite a.d. family  $\mathcal{A} \subset [\omega]^\omega$ . Assume that  $b$  satisfies the hypothesis. In particular, for each  $n \in \omega$ ,  $\bigcup_{m \geq n} (b \cap c_m)$  is  $\mathcal{I}(\mathcal{A})$ -positive. So we can find  $d \in [\bigcup_{n \in \omega} (b \cap c_n)]^\omega \cap \mathcal{I}^+(\mathcal{A})$  such that  $\forall n \in \omega [|d \cap c_n| < \omega]$ . Now there are formally 2 cases:

## The case $\aleph < \aleph$

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Assume that  $b$  satisfies the hypothesis. In particular, for each  $n \in \omega$ ,

$\bigcup_{m \geq n} (b \cap c_m)$  is  $\mathcal{I}(\mathcal{A})$ -positive. So we can find

$d \in [\bigcup_{n \in \omega} (b \cap c_n)]^\omega \cap \mathcal{I}^+(\mathcal{A})$  such that  $\forall n \in \omega [ |d \cap c_n| < \omega ]$ . Now there are formally 2 cases:

Case I: there is  $e \in [d]^\omega$  which is a.d. from every  $a \in \mathcal{A}$ . Let

$X = \{m \in \omega : e \cap c_m \neq \emptyset\}$ . Define  $f : X \rightarrow \omega$  by  $f(m) = \min(e \cap c_m)$ . There is

$\alpha < \mathfrak{b}$  such that  $\exists^\infty m \in X [f(m) \leq f_\alpha(m)]$ . For any such  $m \in X$ ,  $x_{\alpha,m} \cap e \neq \emptyset$ .

So  $|x_\alpha^0 \cap e| = \omega$ . This implies  $x_\alpha^0 \cap d$ , and hence  $x_\alpha^0 \cap b$  are in  $\mathcal{I}^+(\mathcal{A})$ . On

the other hand,  $x_\alpha^1 \cap b \in \mathcal{I}^+(\mathcal{A})$  by hypothesis. ⊣

## The case $\alpha < \aleph$

### Proof.

Case II: there are infinitely many  $a \in \mathcal{A}$  such that  $|a \cap d| = \omega$ . Fix such a family  $\{a_n : n \in \omega\} \subset \mathcal{A}$ . For each  $n \in \omega$ , let  $X_n = \{m \in \omega : a_n \cap d \cap c_m \neq \emptyset\}$ . There is  $\alpha < \aleph$  such that for each  $n \in \omega$ ,  $\exists^\infty m \in X_n [c_m \cap d \cap a_n \cap (f_\alpha(m) + 1) \neq \emptyset]$ . Then for each  $n \in \omega$ ,  $|a_n \cap d \cap x_\alpha^0| = \omega$ . So  $d \cap x_\alpha^0$  and hence  $b \cap x_\alpha^0$  are in  $I^+(\mathcal{A})$ .  $x_\alpha^1 \cap b$  is in  $I^+(\mathcal{A})$  by hypothesis. ⊣

## The case $\alpha < \aleph$

- In a sense we only care about splitting things that hit infinitely many  $c_n$ , for some collection  $\langle c_n : n \in \omega \rangle$ .

## The case $\aleph_\alpha < \aleph_\beta$

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- There is a problem: the collection  $\langle c_n : n \in \omega \rangle$  that we care about will keep changing at every stage of the construction.
- Solution: make the tree more complicated.

## The case $\aleph_\alpha < \aleph_\xi$

- In a sense we only care about splitting things that hit infinitely many  $c_n$ , for some collection  $\langle c_n : n \in \omega \rangle$ .
- There is a problem: the collection  $\langle c_n : n \in \omega \rangle$  that we care about will keep changing at every stage of the construction.
- Solution: make the tree more complicated.
- Main difference: instead of using a sequence of sets  $\langle e_\alpha : \alpha < \kappa \rangle$ , use a tree of sets  $\langle e_\eta : \eta \in 2^{<\kappa} \rangle$ .
- The pair  $e^0, e^1$  used at a node of the tree now depends not just on the height of that node, but also on all the pairs of sets that occur below that node.

## The case $\alpha < \aleph_1$

- Along each (long enough) branch  $\psi$  of the tree, each countable subset of  $\psi$  can be “captured” at some node  $\eta$  that lies on  $\psi$ .
- This “captured” countable set determines a collection  $\langle c_n : n \in \omega \rangle$ .
- The sets that hit infinitely many of the  $c_n$  will be split using a small family *before*  $\psi$  is reached.

## The case $\aleph_\alpha < \aleph_\beta$

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- This “captured” countable set determines a collection  $\langle c_n : n \in \omega \rangle$ .
- The sets that hit infinitely many of the  $c_n$  will be split using a small family *before*  $\psi$  is reached.
- The assumption that  $\aleph_\alpha < \aleph_\omega$  becomes relevant for capturing the countable sets.

## The case $\aleph_\alpha < \aleph_\omega$

### Definition

Let  $\kappa$  be any cardinal. A set  $X \subset [\kappa]^{\leq \omega}$  is called cofinal if  $\forall a \in [\kappa]^{\leq \omega} \exists b \in X [a \subset b]$ .

$$\text{cf}(\langle [\kappa]^{\leq \omega}, \subset \rangle) = \min \{ |X| : X \subset [\kappa]^{\leq \omega} \text{ is cofinal} \}.$$

## The case $\aleph < \aleph$

### Definition

Let  $\kappa$  be any cardinal. A set  $X \subset [\kappa]^{\leq \omega}$  is called cofinal if  $\forall a \in [\kappa]^{\leq \omega} \exists b \in X [a \subset b]$ .

$$\text{cf}(\langle [\kappa]^{\leq \omega}, \subset \rangle) = \min \{ |X| : X \subset [\kappa]^{\leq \omega} \text{ is cofinal} \}.$$

- For any  $n < \omega$ ,  $\text{cf}(\langle [\aleph_n]^{\leq \omega}, \subset \rangle) = \aleph_n$  (obvious for  $\aleph_1$ ; by induction for larger  $n$ ).
- So for any  $n < \omega$ , there is a sequence  $\langle u_\alpha : \omega \leq \alpha < \aleph_n \rangle$  such that
  - 1  $u_\alpha \subset \alpha$  and  $|u_\alpha| = \omega$ ;
  - 2 if  $X \subset \aleph_n$  is any uncountable set, then there exists  $\alpha < \sup(X)$  such that  $|u_\alpha \cap X| = \omega$ .
- If in addition you know that  $\mathfrak{b} \leq \aleph_n$ , then you can strengthen 1 to say that  $\text{otp}(u_\alpha) = \omega$ ; but 2 will only apply to sets order type at least  $\mathfrak{b}$ .

## The case $\aleph_\alpha < \aleph_\beta$

### Definition

For cardinals  $\kappa > \lambda > \omega$ ,  $P(\kappa, \lambda)$  says that there is a sequence  $\langle u_\alpha : \omega \leq \alpha < \kappa \rangle$  such that

- 1  $u_\alpha \subset \alpha$  and  $|u_\alpha| = \omega$
- 2 for each  $X \subset \kappa$ , if  $X$  is bounded in  $\kappa$  and  $\text{otp}(X) = \lambda$ , then  $\exists \omega \leq \alpha < \sup(X) [|u_\alpha \cap X| = \omega]$ .

## The case $\alpha < \mathfrak{s}$

### Definition

For cardinals  $\kappa > \lambda > \omega$ ,  $P(\kappa, \lambda)$  says that there is a sequence  $\langle u_\alpha : \omega \leq \alpha < \kappa \rangle$  such that

- 1  $u_\alpha \subset \alpha$  and  $|u_\alpha| = \omega$
- 2 for each  $X \subset \kappa$ , if  $X$  is bounded in  $\kappa$  and  $\text{otp}(X) = \lambda$ , then  $\exists \omega \leq \alpha < \sup(X) [|u_\alpha \cap X| = \omega]$ .

- If  $\mathfrak{b} \leq \lambda < \kappa < \aleph_\omega$ , then  $P(\kappa, \lambda)$  is true.

### Theorem (Shelah, 2010 [2])

If  $\alpha < \mathfrak{s}$  and  $P(\mathfrak{s}, \alpha)$  holds, then there is a completely separable family.

- Forcing the failure of the hypothesis needs large cardinals (and unknown if  $\alpha > \omega_1$ ).

## The case $\aleph_\alpha < \aleph_\beta$

- At a stage  $\delta < \aleph_\alpha$  we have  $\mathcal{A}_\delta = \langle a_\alpha : \alpha < \delta \rangle$ , a subtree  $\mathcal{T}_\delta \subset 2^{<\aleph_\beta}$ , a labeling  $\langle e_\eta : \eta \in \mathcal{T}_\delta \rangle$ , and a sequence of nodes  $\langle \eta_\alpha : \alpha < \delta \rangle \subset \mathcal{T}_\delta$  such that for each  $\alpha < \delta$ :
  - $\forall \xi \in \text{dom}(\eta_\alpha) [a_\alpha \subset^* e_{\eta_\alpha \upharpoonright \xi}^{\eta_\alpha(\xi)}]$ ;

## The case $\aleph_\alpha < \aleph_\varsigma$

- At a stage  $\delta < \aleph_c$  we have  $\mathcal{A}_\delta = \langle a_\alpha : \alpha < \delta \rangle$ , a subtree  $\mathcal{T}_\delta \subset 2^{<\aleph_\varsigma}$ , a labeling  $\langle e_\eta : \eta \in \mathcal{T}_\delta \rangle$ , and a sequence of nodes  $\langle \eta_\alpha : \alpha < \delta \rangle \subset \mathcal{T}_\delta$  such that for each  $\alpha < \delta$ :
  - $\forall \xi \in \text{dom}(\eta_\alpha) [a_\alpha \subset^* e_{\eta_\alpha \upharpoonright \xi}^{\eta_\alpha(\xi)}]$ ;
  - if  $\sigma \in 2^\aleph$  and if  $\sigma \upharpoonright \xi \in \mathcal{T}_\delta$  for all  $\xi < \aleph$ , then  $\{e_{\sigma \upharpoonright \xi} : \xi < \aleph\}$  is an  $(\omega, \omega)$ -splitting family;

## The case $\aleph_\alpha < \aleph_s$

- At a stage  $\delta < \aleph_c$  we have  $\mathcal{A}_\delta = \langle a_\alpha : \alpha < \delta \rangle$ , a subtree  $\mathcal{T}_\delta \subset 2^{<\aleph_s}$ , a labeling  $\langle e_\eta : \eta \in \mathcal{T}_\delta \rangle$ , and a sequence of nodes  $\langle \eta_\alpha : \alpha < \delta \rangle \subset \mathcal{T}_\delta$  such that for each  $\alpha < \delta$ :
  - $\forall \xi \in \text{dom}(\eta_\alpha) [a_\alpha \subset^* e_{\eta_\alpha \upharpoonright \xi}^{\eta_\alpha(\xi)}]$ ;
  - if  $\sigma \in 2^{\aleph_s}$  and if  $\sigma \upharpoonright \xi \in \mathcal{T}_\delta$  for all  $\xi < \aleph_s$ , then  $\{e_{\sigma \upharpoonright \xi} : \xi < \aleph_s\}$  is an  $(\omega, \omega)$ -splitting family;
  - $|\mathcal{T}_\delta| < \aleph_c$  (more precisely  $\mathcal{T}_\delta$  is the union of  $< \aleph_c$  chains) and  $e_{\eta_\alpha} = a_\alpha$ ;

## The case $\alpha < \aleph$

- At a stage  $\delta < \kappa$  we have  $\mathcal{A}_\delta = \langle a_\alpha : \alpha < \delta \rangle$ , a subtree  $\mathcal{T}_\delta \subset 2^{<\aleph}$ , a labeling  $\langle e_\eta : \eta \in \mathcal{T}_\delta \rangle$ , and a sequence of nodes  $\langle \eta_\alpha : \alpha < \delta \rangle \subset \mathcal{T}_\delta$  such that for each  $\alpha < \delta$ :
  - $\forall \xi \in \text{dom}(\eta_\alpha) [a_\alpha \subset^* e_{\eta_\alpha \upharpoonright \xi}^{\eta_\alpha(\xi)}]$ ;
  - if  $\sigma \in 2^\aleph$  and if  $\sigma \upharpoonright \xi \in \mathcal{T}_\delta$  for all  $\xi < \aleph$ , then  $\{e_{\sigma \upharpoonright \xi} : \xi < \aleph\}$  is an  $(\omega, \omega)$ -splitting family;
  - $|\mathcal{T}_\delta| < \kappa$  (more precisely  $\mathcal{T}_\delta$  is the union of  $< \kappa$  chains) and  $e_{\eta_\alpha} = a_\alpha$ ;
  - For  $\xi < \aleph$ ,  $\eta \in 2^\xi \cap \mathcal{T}_\delta$ , a set  $a \subset \xi$  of order type  $\omega$ , and  $n \in \omega$ , we use the notation  $c_{\eta, a, n} = \left( \bigcap_{m < n} e_{\eta \upharpoonright a(m)}^{\eta(a(m))} \right) \cap e_{\eta \upharpoonright a(n)}^{1-\eta(a(n))}$ , where  $a(m)$  denotes the  $m$ th element of  $a$ ;
  - If  $\xi < \aleph$  and if  $X \subset \xi$  has order type  $\alpha$  and  $\text{sup}(X) = \xi$ , then for any  $\eta \in 2^\xi \cap \mathcal{T}_\delta$ , there is  $a \subset \xi$  with  $\text{otp}(a) = \omega$  such that  $|a \cap X| = \omega$  and for every  $b \in [\omega]^\omega$  and any infinite a.d. family  $\mathcal{A} \subset [\omega]^\omega$ , if for all  $n \in \omega$  and for all  $f \in \omega^\omega$ ,  $\bigcup_{m \geq n} \{k \in b \cap c_m : k > f(m)\} \in I^+(\mathcal{A})$ , then there is  $\zeta < \xi$  such that  $b \cap e_{\eta \upharpoonright \zeta}^0 \in I^+(\mathcal{A})$  and  $b \cap e_{\eta \upharpoonright \zeta}^1 \in I^+(\mathcal{A})$ .

## The case $\mathfrak{a} < \mathfrak{s}$

- Given  $\langle u_\alpha : \alpha < \mathfrak{s} \rangle$  witnessing  $P(\mathfrak{s}, \mathfrak{a})$ , a family  $\langle f_\alpha : \alpha < \mathfrak{b} \rangle$  witnessing  $\mathfrak{b} < \mathfrak{s}$ , and an  $(\omega, \omega)$ -splitting family  $\langle x_\alpha : \alpha < \mathfrak{s} \rangle$ , arranging (1)-(5) is just a matter of bookkeeping.
- Details of the bookkeeping are not deep (just messy).

## The case $\mathfrak{a} < \mathfrak{s}$

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- Details of the bookkeeping are not deep (just messy).
- the idea is that along a branch  $\eta$ , any subset  $X$  of order type  $\mathfrak{a}$  will be “trapped” by some  $u_\alpha$ .
- This  $u_\alpha$  determines a collection  $\{c_{\eta, u_\alpha, n} : n \in \omega\}$ .
- Together with  $\langle f_\beta : \beta < \mathfrak{b} \rangle$  this gives a family  $\{y_\beta : \beta < \mathfrak{b}\}$  such that any  $b$  that behaves like in the lemma w.r.t. the  $c_{\eta, u_\alpha, n}$  is split by one of the  $y_\beta$ .
- There is enough space to enumerate the  $\{y_\beta : \beta < \mathfrak{b}\}$  (note: this set does not depend on  $X$ ) along  $\eta$ ; so every  $b$  that intersects infinitely many of the  $c_{\eta, u_\alpha, n}$  will be split before  $\eta$  is reached.

## The case $\mathfrak{a} < \mathfrak{s}$

- At a stage  $\delta < \mathfrak{c}$ , fix some  $b \in I^+(\mathcal{A}_\delta)$
- By clause (2), we can once again build sequences  $\langle \alpha_s : s \in 2^{<\omega} \rangle \subset \mathfrak{s}$  and  $\langle \tau_s : s \in 2^{<\omega} \rangle \subset \mathcal{T}_{\delta+1}$  as before.
- As before, for any  $f \in 2^\omega$ , if  $\tau_f = \bigcup_{n \in \omega} \tau_{(f \upharpoonright n)}$  and if  $\alpha_f = \sup \{ \alpha_{(f \upharpoonright n)} : n \in \omega \}$ , then  $\alpha_f < \mathfrak{s}$ , and  $b \cap e_{\tau_{(f \upharpoonright 0)}}^{\tau_f(\alpha_{(f \upharpoonright 0)})} \supset b \cap e_{\tau_{(f \upharpoonright 0)}}^{\tau_f(\alpha_{(f \upharpoonright 0)})} \cap e_{\tau_{(f \upharpoonright 1)}}^{\tau_f(\alpha_{(f \upharpoonright 1)})} \supset \dots$  is a decreasing sequence of sets in  $I^+(\mathcal{A}_\delta)$ .

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- As before, for any  $f \in 2^\omega$ , if  $\tau_f = \bigcup_{n \in \omega} \tau_{(f \upharpoonright n)}$  and if  $\alpha_f = \sup \{ \alpha_{(f \upharpoonright n)} : n \in \omega \}$ , then  $\alpha_f < \mathfrak{s}$ , and  $b \cap e_{\tau_{(f \upharpoonright 0)}}^{\tau_f(\alpha_{(f \upharpoonright 0)})} \supset b \cap e_{\tau_{(f \upharpoonright 0)}}^{\tau_f(\alpha_{(f \upharpoonright 0)})} \cap e_{\tau_{(f \upharpoonright 1)}}^{\tau_f(\alpha_{(f \upharpoonright 1)})} \supset \dots$  is a decreasing sequence of sets in  $I^+(\mathcal{A}_\delta)$ .
- Choose  $f \in 2^\omega$  such that  $\tau_f \notin \mathcal{T}_\delta$  and choose  $e \in [b]^\omega \cap I^+(\mathcal{A}_\delta)$  that is almost included in this decreasing sequence.

## The case $\alpha < \aleph$

- As before, for any  $\xi < \alpha_f$ , there is a *minimal*  $F_\xi \in [\delta]^{<\omega}$  such that  $e \cap e_{(\tau_f) \upharpoonright \xi}^{1-\tau_f(\xi)} \subset^* \bigcup_{\alpha \in F_\xi} a_\alpha$ .
- Recall clause (3) which says that for any  $\alpha < \delta$ ,  $e_{\eta_\alpha} = a_\alpha$ .
- For any  $\alpha < \delta$ , if  $\eta_\alpha \subset \tau_f$ , then  $\text{dom}(\eta_\alpha) < \alpha_f$  and  $\tau_f(\text{dom}(\eta_\alpha)) = 1$  because of this clause.

## The case $\alpha < \aleph$

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- Conclusion: It is enough to find  $a \in [e]^\omega$  such that  $\forall \xi < \alpha_f \left[ a \subset^* e_{(\tau_f) \upharpoonright \xi}^{\tau_f(\xi)} \right]$ .

## The case $\alpha < \aleph$

- Consider the collection  $G$  of all  $\zeta < \alpha_f$  for which there is  $x \in [e]^\omega$  such that:

- $\forall \xi < \zeta \left[ x \subset^* e_{(\tau_f) \upharpoonright \xi}^{\tau_f(\xi)} \right];$
- $x \cap e_{(\tau_f) \upharpoonright \zeta}^{1-\tau_f(\zeta)}$  is infinite.

## The case $\aleph_\alpha < \aleph_\xi$

- Consider the collection  $G$  of all  $\zeta < \alpha_f$  for which there is  $x \in [e]^\omega$  such that:
  - 1  $\forall \xi < \zeta \left[ x \subset^* e_{(\tau_f) \upharpoonright \xi}^{\tau_f(\xi)} \right]$ ;
  - 2  $x \cap e_{(\tau_f) \upharpoonright \zeta}^{1-\tau_f(\zeta)}$  is infinite.
- If  $|G| < \aleph_\alpha$ , then we can find  $a \in [e]^\omega$  as needed.
- Why?  $\left| \bigcup_{\zeta \in G} F_\zeta \right| < \aleph_\alpha$ . Take  $a \in [e]^\omega$  which is a.d. from everything in  $\bigcup_{\zeta \in G} F_\zeta$ .
- Suppose there exists  $\zeta < \alpha_f$  such that  $\left| a \cap e_{(\tau_f) \upharpoonright \zeta}^{1-\tau_f(\zeta)} \right| = \omega$ . Take the least such  $\zeta$ . Then  $a$  witnesses that  $\zeta \in G$ , which is a contradiction.

## The case $\aleph_\alpha < \aleph_\beta$

- So assume that  $|G| \geq \aleph_\alpha$ .
- Let  $\xi \leq \aleph_\beta$  be minimal such that  $\text{otp}(G \cap \xi) = \aleph_\alpha$ .
- Apply clause (5) to  $\xi$  with  $\eta = \tau_f \upharpoonright \xi$ ,  $X = G \cap \xi$ , to get  $a \subset \xi$  of order type  $\omega$  with the property given in the clause.
- We wish to use this property of the set  $a$  with  $b = e$  and  $\mathcal{A} = \mathcal{A}_\delta$ .

## The case $\aleph_\alpha < \aleph_\omega$

- So assume that  $|G| \geq \aleph_\alpha$ .
- Let  $\xi \leq \aleph_\omega$  be minimal such that  $\text{otp}(G \cap \xi) = \aleph_\alpha$ .
- Apply clause (5) to  $\xi$  with  $\eta = \tau_f \upharpoonright \xi$ ,  $X = G \cap \xi$ , to get  $a \subset \xi$  of order type  $\omega$  with the property given in the clause.
- We wish to use this property of the set  $a$  with  $b = e$  and  $\mathcal{A} = \mathcal{A}_\delta$ .
- If we succeed, then we will get a  $\zeta < \xi \leq \aleph_\omega$  such that both  $e_{(\tau_f) \upharpoonright \zeta}^0 \cap e$  and  $e_{(\tau_f) \upharpoonright \zeta}^1 \cap e$ .

## The case $\aleph_\alpha < \aleph_\omega$

- So assume that  $|G| \geq \aleph_\alpha$ .
- Let  $\xi \leq \aleph_f$  be minimal such that  $\text{otp}(G \cap \xi) = \aleph_\alpha$ .
- Apply clause (5) to  $\xi$  with  $\eta = \tau_f \upharpoonright \xi$ ,  $X = G \cap \xi$ , to get  $a \subset \xi$  of order type  $\omega$  with the property given in the clause.
- We wish to use this property of the set  $a$  with  $b = e$  and  $\mathcal{A} = \mathcal{A}_\delta$ .
- If we succeed, then we will get a  $\zeta < \xi \leq \aleph_f$  such that both  $e_{(\tau_f) \upharpoonright \zeta}^0 \cap e$  and  $e_{(\tau_f) \upharpoonright \zeta}^1 \cap e$ .
- It suffices to produce a sequence  $\langle a_n : n \in \omega \rangle$  of distinct elements of  $\mathcal{A}_\delta$  and an increasing sequence  $\langle k_n : n \in \omega \rangle$  of elements of  $\omega$  such that  $|e \cap a_n \cap c_{\eta, a, k_n}| < \omega$ .

## The case $\alpha < \aleph$

- Note that if  $a(k) \in X$ , then there is  $a \in F_{a(k)}$  such that  $e \cap a \cap c_{\eta,a,k}$  is infinite.
- By the minimality of  $F_{a(k)}$ , if  $k < l$  and  $a(k) \in X$  and  $a(l) \in X$ , then  $F_{a(k)} \cap F_{a(l)} = \emptyset$ .
- Since  $a \cap X$  is infinite, we are done!

## The case $\alpha < \aleph$

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- Since  $a \cap X$  is infinite, we are done!
- So this contradiction shows that  $|G| < \alpha$ . So we can find  $a_\delta$  and  $\eta_\delta$  as needed ( $\eta_\delta = \tau_f$ ).

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