

Hausdorff towers and gaps

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joint work with **David Chodounsky**

Tower.

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We say that $(T_\alpha)_{\alpha < \omega_1}$ is a *tower* if

- $T_\alpha \subseteq \omega$ for each α ;
- $T_\alpha \setminus T_\beta$ is finite ($T_\alpha \subseteq^* T_\beta$) iff $\alpha < \beta$.

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Is there a tower $(T_\alpha)_\alpha$ such that $T_\alpha \not\subseteq T_\beta$ for each $\alpha < \beta$?

Question 1 reformulated

Is there an uncountable family $\mathcal{T} \subseteq [\omega]^\omega$ such that

- $(\mathcal{T}, \subseteq^*)$ is well-ordered;
- (\mathcal{T}, \subseteq) is an antichain?

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Definition: gap

A family $(L_\alpha, R_\alpha)_{\alpha < \omega_1}$ is a *gap* if

- $(L_\alpha)_\alpha$ and $(R_\alpha)_\alpha$ are towers;
- $L_\alpha \cap R_\alpha = \emptyset$ for each α ;
- there is no $L \subseteq \omega$ s.t. $L_\alpha \subseteq^* L$ and $R_\alpha \cap L =^* \emptyset$ for each α .

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- $\{\beta < \alpha : L_\beta \cap R_\alpha \subseteq n\}$ is finite for each $n \in \omega$ and $\alpha < \omega_1$.

Answer to Question 1 (S. Todorčević).

Let $(L_\alpha, R_\alpha)_\alpha$ be a Hausdorff gap. Define f ($\text{dom}(f) = \omega_1$):

$$f(\alpha) = \{\beta < \alpha : L_\beta \cap R_\alpha = \emptyset\}.$$

- Hausdorff condition $\implies f : \omega_1 \rightarrow [\omega_1]^{<\omega}$;
- f is a set-mapping (i.e. $\alpha \notin f(\alpha)$);
- Free-set theorem \implies there is $\Lambda \subseteq \omega_1$, $|\Lambda| = \omega_1$ such that

$$\alpha \notin f(\beta) \text{ for each } \alpha, \beta \in \Lambda;$$

- If $L_\beta \cap R_\alpha \neq \emptyset$ then $L_\beta \setminus L_\alpha \neq \emptyset$;
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Answer.

pre-Definition: **Hausdorff tower**

A tower $(T_\alpha)_\alpha$ is Hausdorff if (it contains a subtower (T'_α) such that) for each α and n

$$\{\beta < \alpha : T_\beta \setminus T_\alpha \subseteq n\} \text{ is finite.}$$

Proposition

There is a Hausdorff tower. Each Hausdorff tower contains an uncountable \subseteq -antichain.

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Theorem (Kunen, van Douwen, 1982)

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Basic definitions.

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Definition: Suslin tower

A tower $(T_\alpha)_\alpha$ is *Suslin* if it does not contain an uncountable \subseteq -antichain.

Definition: special tower

A tower $(T_\alpha)_\alpha$ is *special* if it is not Suslin.

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Martin's Axiom (+ non-CH).

Proposition

$\text{MA}(\omega_1) \implies$ each tower is Hausdorff.

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$\text{MA}(\omega_1) \implies$ for each tower $(T_\alpha)_\alpha$ there is a tower $(T'_\alpha)_\alpha$ such that

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OCA and PID.

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OCA \implies each tower is special.

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Assume PID. Then each tower is Hausdorff if and only if $\mathfrak{b} > \omega_1$.

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Suslin towers (and oscillations).

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There exists a Suslin tower of size \mathfrak{b} . Consistently, there is a Suslin tower of size less than \mathfrak{b} .

Theorem (Todorčević)

If a tower generates a non-meager ideal, then it is Suslin.

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Suslin tower from a Cohen real.

Proposition

Let \mathbb{C} be the Cohen forcing and let $(T_\alpha)_\alpha$ be a tower. Then

$$\Vdash_{\mathbb{C}} (\dot{c} \cap T_\alpha)_\alpha \text{ is a Suslin tower.}$$

Suslin tower from a Cohen real - proof.

- $\Vdash_{\mathbb{C}} (\dot{c} \cap T_\alpha)_\alpha$ is a tower;
- Fix $p \in 2^n$;
- Let $\Vdash_{\mathbb{C}} \dot{X} \subseteq \omega_1$;
- WLOG, $X \in V$;
- Let $\alpha < \beta \in X$ such that $T_\alpha \cap n = T_\beta \cap n$;
- Let m be such that $T_\alpha \setminus T_\beta \subseteq m$;
- Let $q \Vdash n = p$ and $q(i) = 0$ for each $i \in [n, m)$;
- $q \Vdash \dot{c} \cap T_\alpha \subseteq \dot{c} \cap T_\beta$.

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Special gaps.

Special gap

A gap $(L_\alpha, R_\alpha)_\alpha$ is *special* if there is an uncountable $X \subseteq \omega_1$ such that

$$(L_\alpha \cap R_\beta) \cup (L_\beta \cap R_\alpha) \neq \emptyset$$

for each $\alpha < \beta \in X$.

Oriented gap

A gap $(L_\alpha, R_\alpha)_\alpha$ is *oriented* if there is an uncountable $X \subseteq \omega_1$ such that

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Special gaps.

Basic facts

- notation: “Hausdorff” = “equivalent to Hausdorff”;
- Hausdorff \implies oriented \implies special;
- If $(L_\alpha, R_\alpha)_\alpha$ is Hausdorff, then $(L_\alpha)_\alpha$ is Hausdorff;
- If $(L_\alpha, R_\alpha)_\alpha$ is oriented, then $(L_\alpha)_\alpha$ is special.

Questions - Scheepers (1993)

Is every oriented gap Hausdorff? Is every special gap oriented?

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Theorem - Hirschorn (2008)

Consistently, there is an oriented but non-Hausdorff gap.

Theorem

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Special gaps.

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Special, non-oriented gap - proof.

- Let $(T_\alpha)_\alpha$ be a Suslin tower.
- (Spasojević, 1996) There is a σ -centered forcing \mathbb{P} and a \mathbb{P} -name $(\dot{L}_\alpha)_\alpha$ for a tower such that

$$\Vdash_{\mathbb{P}} (\dot{L}_\alpha, T_\alpha)_\alpha \text{ is an oriented gap.}$$

- Consider $(T_\alpha, \dot{L}_\alpha)_\alpha$. It is still **special** in $V^{\mathbb{P}}$.
- $\Vdash_{\mathbb{P}}$ " $(T_\alpha)_\alpha$ is Suslin", since σ -centered forcings cannot destroy ccc.
- Therefore, $(T_\alpha, \dot{L}_\alpha)_\alpha$ is **not oriented**.

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- A surprise:

$\Vdash_{\mathbb{P}} (\dot{L}_\alpha)_\alpha$ is not a Hausdorff tower.

- Therefore

$\Vdash_{\mathbb{P}} (\dot{L}_\alpha, T_\alpha)_\alpha$ is oriented, not Hausdorff.

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Special non-Hausdorff tower.

Corollary

Consistently, there is a special tower which is not Hausdorff.

Theorem

- If $(T_\alpha)_\alpha$, $(T'_\alpha)_\alpha$ generates the same ideal, and $(T_\alpha)_\alpha$ is Hausdorff, then $(T'_\alpha)_\alpha$ is Hausdorff.
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Figure: CN tower

