KATOWICE "PATTERN" FOR THE QUESTIONS INSPIRED BY Czech mathematicians (personal perspective)

by

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THE WINTER SCHOOL IN ABSTRACT ANALYSIS Section Set Theory & Topology January 28 - February 4, (2012). Generalizing previously known property of nowhere separable metric spaces, W. Kulpa and A. Szymański [Bull. Acad. Polon. Sci. 25 (1977)] have stated as follows. For inspiration, compare the paper by P. Štěpánek and P. Vopěnka (1967).

#### Kulpa-Szymański Theorem (1977)

Let X be a topological space with a  $\pi$ -basis  $\bigcup \Theta$ . Suppose  $\lambda$  is an (the least) infinite cardinal such that  $\Theta = \{H_{\alpha} : \alpha < \lambda\}$ , where each  $H_{\alpha}$  consists of pairwise disjoint sets. If any non-empty open set contains  $\lambda^+$  pairwise disjoint open sets, then there exists a family  $\{D_{\beta} : \beta < \lambda^+\}$  of nowhere dense sets covering X.

For metric nowhere separable spaces, i.e. a metric space such that no open and non-empty subset is separable,  $\lambda = \omega_0$ , so any such space is an union of an increasing family (of size  $\omega_1$ ) of nowhere dense sets.

Non-trivial applications of Kulpa-Szymański Theorem is in the paper "*The space of ultrafilters on N covered by nowhere dense sets*" by B. Balcar, J. Pelant and P. Simon [Fund. Math. (1980)]. The authors mention a conversation (about the Novak number) with J. Mioduszewski at the V-th Winter School in Štefanová. This was the first article that I had to read and to set forth at the seminar in Katowice. The nicest result of this paper is:

#### Base Matrix Theorem (Lemma 2.11)

There exists a collection  $\Theta = \{H_{\alpha} : \alpha < h\}$  of MAD families such that  $\bigcup \Theta$  is dense in the poset  $([\omega]^{\omega}, \subseteq^*)$  and each  $H_{\alpha}$  refines  $H_{\beta}$  for  $\beta < \alpha$  (and *h* is the least possible cardinal).

Together with Kulpa-Szymański Theorem, this assertion was used to estimate covering and additivity numbers of nowhere dense subsets in the space of ultrafilters on  $\omega$ .

Motivated by the Balcar, Pelant and Simon paper, we consider (I am advocating in Katowice) the following pattern for questions.

- Suppose that we have is an ideal (q), which is associated to some forcing conditions - one of these at the paper "Strolling through paradise" by J. Brendle [Fund. Math. (1995)]
- Is there a topology on X = ∪(q), which satisfies the assumptions Kulpa-Szymański Theorem such that (q) is a family of nowhere dense sets in this topology?

If EXISTS A SUCH TOPOLOGY and  $\lambda$  is the least possible cardinal, then

 $\omega_0 \leq \operatorname{\mathsf{add}}((q)) = \lambda \leq \operatorname{\mathsf{cov}}((q)) \leq \operatorname{\mathsf{add}}((q))^+ = \lambda^+.$ 

When trees are elements of a poset  $(\mathbb{Q}, <)$ , then one can consider following ideals associated to this poset.

- $(q^0) = \{Y \subseteq X^{\omega} : \forall_{p \in \mathbb{Q}} \exists_{q < p} \ [q] \cap S = \emptyset\};$
- $(q^1) = \{ S \subseteq \mathbb{Q} : \forall_{p \in \mathbb{Q}} \exists_{q < p} \ \{ y : y \le q \} \cap S = \emptyset \};$
- (q<sup>2</sup>) = {S ⊆ Q\* : ∀<sub>p∈Q</sub>∃<sub>q<p</sub> q\* ∩ S = ∅}, where Q\* is the family of all maximal centered subfamilies of Q (in fact, the space of all ultrafilter) and q\* = {μ ∈ Q\* : q ∈ μ}.

Above, each tree  $p \in \mathbb{Q}$  is contained in  $Seq_X$ , i.e. in the family which consists of finite sequences of elements of X, and its body [p] (all infinite branches) is a perfect subset of the product space  $X^{\omega}$ .

For the MATHIAS FORCING the ideal  $(q^0)$  is denoted as  $(r^0)$ . It is the family of nowhere Ramsey sets or the family of nowhere dense sets with respect to the Ellentuck topology. Base Tree Theorem implies [Me, Fund. Math. (1987)]

$$add((r^0)) = cov((r^0)) = h.$$

Also, by the definitions (identifying a set with its characteristic function) and the above estimate we obtain

$$add((r^{1})) = cov((r^{1})) = h.$$

As well,  $h < \mathfrak{c}$  implies  $h = add((r^2)) \le cov((r^2)) = h^+$ , see B. Balcar, J. Pelant and P. Simon, Fund. Math. (1980). Kulpa-Szymański Theorem is essential for evidence relating to  $(r^2)$ , only. Michał Machura [Tatra Mountains Mathematical Publications (2004)], presented at 32-th (?) Winter School, too], consider the following poset:

Partial order on the family of continuous functions from a topological space X into  $[\omega]^{\omega}$  is defined as follows

 $f \subseteq *g$  if and only, if  $f(x) \subseteq^* g(x)$  for any  $x \in X$ .

For this poset a variant Base Tree Theorem was established, too.

I will add yet, that Machura's paper used tricks and facts described in a few articles (by several authors, but not from Katowice). For example, ones by S. Shelah and O. Spinas: Fund. Math. 158 (1999); Trans. Amer. Math. Soc. (1999).

For the SILVER FORCING the ideal  $(q^0)$  is denoted as  $(v^0)$ . To describe it accurately, we need some definitions. Anna Wojciechowska discussed them three years ago at Winter School in Hejnice - a published paper by P. Kalemba, Me and A. Wojciechowska is in Cent. Eur. J. Math. 6 (2008).

Thus, conditions could be represented by the so-called segments, that is, sets of the form

 $\langle A, B \rangle = \{ X \in [\omega]^{\omega} : A \subseteq X \subseteq B \},\$ 

where  $A \in [\omega]^{\omega}$ ,  $A \subset B$  and  $B \setminus A \in [\omega]^{\omega}$ . Inclusion gives the partial order.

A set  $X \subseteq 2^{\omega}$  belongs to  $(v^0)$  whenever for every segment  $\langle A, B \rangle$  there exists a segment  $\langle C, D \rangle \subseteq \langle A, B \rangle$  such that

 $X \cap \langle C, D \rangle = \emptyset.$ 

Similar as with the family of nowhere Ramsey sets a variant of Base Tree Theorem holds, but with respect to so called \*-segments, that is, sets of the form

 $\langle A,B\rangle^*=\{X\in[\omega]^\omega:A\subseteq^*X\subseteq^*B\},$ 

where  $\langle A, B \rangle$  runs over segments.

Two years ago, P. Kalemba discussed [at Winter School in Hejnice] the possible generalizations of the ideals  $(v^0)$ : trees with bodies in  $X^{\omega}$  (for finite X). Exhausting (?) the possibilities offered by the replacement of trees (perfect sets) onto some countable sum of their shifts. In the paper by Me and P. Kalemba [Cent. Eur. J. Math. (2010)] a versions of Base Tree Theorem are proven and Kulpa-Szynański Theorem gives (below  $(v^0)$  denotes some its generalization, too)

$$\omega_1 \leq \operatorname{\mathsf{add}}((v^0)) \leq \operatorname{\mathsf{cov}}((v^0)) \leq \operatorname{\mathsf{add}}((v^0))^+.$$

In fact, if we have a family  $\{\mathbb{P}_{\alpha} : \alpha < \lambda \leq \mathfrak{c}\}\$  of posets such that each one satisfies Base Tree Theorem, then we can consider each ideal associated to  $\mathbb{P}_{\alpha}$  as the ideals of nowhere dense subsets with respect to a topology. Than, one can check that the product of these topologies satisfies Base Tree Theorem, too. But the height of a such tree can be less than h, in others words: we can a natural class of topologies, which satisfies Baire Theorem, but their Cartesian products does not preserve Baire Numbers (understand as the additivity - first category sets are nowhere dense in these cases - or covering numbers).

The trick with the replacement of a tree's body by the countable sum of their shifts, i.e.

 $[p] \Longrightarrow [p]^* = \{ f \in X^{\omega} : f =^* g \text{ and } g \in [p] \},\$ 

does not work for many other forcing conditions. Often, it leads to not  $\sigma$ -closed posets.

Let example clarify Base Tree Theorem schema:

Consider the paper "*Sacks forcing, Laver forcing, and Martin's axiom*" [Arch. Math. Logic 31 (1992)] by H. Judah, A. W. Miller and S. Shelah. Here are defined a poset as follows.

- Let  $\overline{A} = \langle A_s \in [\omega]^{\omega} : s \in \omega^{<\omega} \rangle$  and Q be the collections of all  $\overline{A}$ ;
- For A, B ∈ Q define A ⊆\* B if and only, if A<sub>s</sub> \ B<sub>s</sub> is finite for any s ∈ ω<sup><ω</sup>;
- Let  $p_s(\overline{A})$  be the unique Laver tree such that the root of  $p_s(\overline{A})$  is s and for every  $t \supseteq s$  with  $t \in p_s(\overline{A})$  we have that  $split(p, t) = A_t$ .

The poset  $(Q, \subseteq^*)$  fulfills :

- The set Q has the cardinality continuum, i.e.  $|Q| = \mathfrak{c}$ ;
- The poset (Q, ⊆\*) is separative, i.e. if A, B ∈ Q and does not hold A ⊆\* B, then there is C ∈ Q such that C ⊆\* A and B is not comparable with C;
- $\langle \Omega_s = \omega : s \in [\omega]^{<\omega} \rangle$  is the greatest element in Q;
- The poset ({B∈ Q : B<sub>s</sub> ⊆ A<sub>s</sub> for s ∈ ω<sup><ω</sup>}, ⊆\*) is isomorphic with (Q, ⊆\*) for each A ∈ Q;
- The poset (Q, ⊆<sup>\*</sup>) is σ-closed, i.e. decreasing and countable sequences are bounded;
- There are continuum many pairwise not comparable elements below each A ∈ Q.

The above properties implies a version of Base Tree Theorem for  $(Q, \subseteq^*)$ . Kulpa-Szymański Theorem works with ideals  $(q^1)$  or  $(q^2)$  as (almost) in the Balcar, Pelant and Simon paper. But, problems is with counterparts of  $(q^0)$ .

In particular, let  $(1^{0})$  be the ideal associated to the LAVER FORCING  $[X \in (I^0)]$  if and only, if for every Laver tree p there exists a Laver tree b such that  $[b] \subseteq [p]$  and  $X \cap [b] = \emptyset$ . To obtain  $(l^0) = (q^0)$  one should exchange the partial order. If we put  $\overline{A} \prec \overline{B}$  whenever  $A_s \subseteq B_s$  for all but finite many  $s \in \omega^{<\omega}$  [compare with the article "On tree ideals" by M. Goldstern, M. Repický, S. Shelah and O. Spinas in Proc. Amer. Math. Soc. 123 (1995)], then the poset  $(\mathbb{Q}, \prec)$  is not separative. A suitable version of the Base Matrix Tree for non-separative poset is needed. The same holds with the ideals associated with MILLER FORCING, i.e.  $(m^0)$ , or SAKS FORCING, i. e.  $(s^0)$ .

Currently, Anna Wojciechowska is preparing a doctoral thesis with the above topics. A non-separative version of Base Tree Theorem for  $(\mathbb{Q}, \prec)$  is ready. It contains the concepts associated with the separative modification.

Countable sum of a tree shifts in the above mentioned trick, is replaced by another type of  $F_{\sigma}$  sets, i.e.

$$[p] \Longrightarrow \bigcup \{ [p_s(\overline{A})] : s \in \omega^{<\omega} \}.$$

It gives  $(I^0) = (q^0)$ . I am convinced that counterparts for  $(m^0)$  and  $(s^0)$  will be included in the Anna's dissertation, too. This requires some time before it finally is prepared in the form of publications...

## Explanations

- Sentence written in blue are saying about facts;
- Sentence written in red are saying about observations;
- Sentence written in violet are saying about personal observations;
- White or black (?) are background colors.

# THANK YOU