Strong chains of subsets of ω_1 of length ω_3

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Joint work with David Asperó

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Consistently, are there strong chains of functions from $\omega_1^{\omega_1}$ of length ω_2 ?

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Answer

- Koszmider (2000). Using forcing with side conditions in morasses.
- Veličković-Venturi (2013). Forcing with Neeman's two-type side conditions.

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Equivalently (by identifying each A_{α} with its characteristic function), a strong chain of subsets of ω_1 of length δ is a sequence $(g_{\alpha} : \alpha < \delta)$ of functions $g_{\alpha} : \omega_1 \to 2$ such that for all $\alpha < \beta < \delta$,

(1)
$$|\{\nu \in \omega_1 : g_{\alpha}(\nu) > g_{\beta}(\nu)\}| < \aleph_0$$
, and
(2) $|\{\nu \in \omega_1 : g_{\alpha}(\nu) < g_{\beta}(\nu)\}| = \aleph_1$.

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Theorem (Asperó-G.)

(GCH) There is a forcing notion \mathbb{P} with the following properties:

- \mathbb{P} is proper, \aleph_1 -proper and has the \aleph_3 -chain condition.
- \mathbb{P} forces the existence of a strong chain of subsets of ω_1 of length ω_3 .

Forcing with side conditions

Strong chains of subsets of ω_1 of length ω_3

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For M ≤ H(θ) with ℙ ∈ M, a condition p ∈ ℙ is (M, ℙ)-generic if for every dense D ⊆ ℙ such that D ∈ M, D ∩ M is predense below p.

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Lemma

Let μ be a cardinal. If \mathbb{P} is C-proper and for each $\alpha < \mu$ the set $\{M \in \mathcal{C} : \alpha \subseteq M, |M| < \mu\}$ is stationary in $H(\theta)$, then \mathbb{P} preserves μ .

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- *x*, the **working part**, is an approximation of the object that we want to add generically.
- Δ , the side condition, is a finite set of elementary submodels of $H(\theta)$.
- x and Δ are related in such a way that we can prove that x is (M, \mathbb{P}) -generic for every $M \in \Delta$.

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 - (x) (Amalgamation) The right φ makes it "easy" to amalgamate (z, Δ_2) and (a, Σ) .

The different forms of side conditions

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Let $P \subseteq H(\kappa)$. Let S be the set of countable $M \preceq (H(\kappa); \in, P)$ and \mathcal{L} be the set of $N \preceq (H(\kappa); \in, P)$ such that $|N| = \aleph_1$ and ${}^{\omega}N \subseteq N$.

Let \mathcal{N} be a finite set of members of $H(\kappa)$. We say that \mathcal{N} is an $(\mathcal{S}, \mathcal{L})$ -symmetric system if and only if the following holds:

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- (A) Every $Q \in \mathcal{N}$ is an element of $\mathcal{S} \cup \mathcal{L}$.
- (B) For any two distinct $Q_0, Q_1 \in \mathcal{N}$, if $\varepsilon_{Q_0} = \varepsilon_{Q_1}$, then $(Q_0[\omega_1], \in, Q_0) \cong (Q_1[\omega_1], \in, Q_1)$, and $\Psi_{Q_0[\omega_1], Q_1[\omega_1]}$ is the identity on $Q_0[\omega_1] \cap Q_1[\omega_1]$.

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- (C) For any two distinct $Q_0, Q_1 \in \mathcal{N}$, if $\varepsilon_{Q_0} < \varepsilon_{Q_1}$, then there is $Q'_1 \in \mathcal{N}$ such that $\varepsilon_{Q'_1} = \varepsilon_{Q_1}$ and $Q_0 \in Q'_1[\omega_1]$.

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- (D) For every $Q \in \mathcal{N}$ and every $M \in \mathcal{N} \cap \mathcal{S}$, if $Q \in M[\omega_1]$ and there is no $Q' \in \mathcal{N}$ such that $\varepsilon_Q < \varepsilon_{Q'} < \varepsilon_M$, then in fact $Q \in M$.

Let \mathcal{N} be a finite set of members of $H(\kappa)$. We say that \mathcal{N} is an $(\mathcal{S}, \mathcal{L})$ -symmetric system if and only if the following holds:

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- (F) For all $Q_0, Q_1, Q'_1 \in \mathcal{N}$ such that $Q_0 \in Q_1$ and $\varepsilon_{Q_1} = \varepsilon_{Q'_1}$, $\Psi_{Q_1[\omega_1],Q'_1[\omega_1]}(Q_0) \in \mathcal{N}$.

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Forcing strong chains

Strong chains of subsets of $\omega_{\mathbf{1}}$ of length $\omega_{\mathbf{3}}$

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Recall that we want to force a sequence $(g_{\alpha} : \alpha < \omega_3)$ of functions $g_{\alpha} : \omega_1 \to 2$ such that for all $\alpha < \beta < \omega_3$, (1) $|\{\nu \in \omega_1 : g_{\alpha}(\nu) > g_{\beta}(\nu)\}| < \aleph_0$, and (2) $|\{\nu \in \omega_1 : g_{\alpha}(\nu) < g_{\beta}(\nu)\}| = \aleph_1$.

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- Δ_p is an $(\mathcal{S}, \mathcal{L})$ -symmetric system.
- If α < β are in M ∩ ω₃ for some M ∈ Δ_p ∩ S, then M should localize the disagreement of x^α_p and x^β_p, i.e., p should force that the finite set {ν < ω₁ : x^α_p(ν) > x^β_p(ν)} belongs to M.

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• Equivalently, if $\alpha, \beta \in M$ and $\nu \in d_p \setminus M$, then $x_p^{\alpha}(\nu) \leq x_p^{\beta}(\nu)$.

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This forcing won't work!



Suppose $\alpha < \beta$ in a_p , and $\nu \in d_p \setminus (M_0 \cup M_1)$. We could have $x_p^{\alpha}(\nu) > x_p^{\beta}(\nu)$. Suppose that $q \leq p$ and $\gamma \in a_q \setminus a_p \cap (\alpha, \beta) \cap M_0 \cap M_1$. Then, $x_q^{\alpha}(\nu) \leq x_q^{\gamma}(\nu) \leq x_q^{\beta}(\nu)$.

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Definition

Let \mathcal{A} be a finite subset of \mathcal{S} , $\nu \in \omega_1$, and $\alpha, \beta \in \omega_3$. Then, $\alpha <_{\mathcal{A},\nu} \beta$ if and only if $\alpha < \beta$ and there are $M_0, \ldots, M_n \in \mathcal{A}$ and $\gamma_0 < \cdots < \gamma_{n-1}$ such that $\sup_{i \leq n} \delta_{M_i} \leq \nu$, $\alpha \in M_0$, $\beta \in M_n$, and $\gamma_i \in M_i \cap M_{i+1} \cap (\alpha, \beta)$ for each i < n.

The forcing

Let \mathbb{P} be the forcing whose conditions are tuples $p = (x_p, a_p, d_p, \mathcal{N}_p, \mathcal{A}_p)$ such that:

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a_p ∈ [ω₃]^{<ω}.
 d_p ∈ [ω₁]^{<ω}.
 x_p = (x^α_p : α ∈ a_p) and x^α_p : d_p → 2 is a function for each α ∈ a_p.
 N_p is an (S, L)-symmetric system.

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- (1) $a_p \in [\omega_3]^{<\omega}$.
- (2) $d_p \in [\omega_1]^{<\omega}$.
- (3) $x_p = (x_p^{\alpha} : \alpha \in a_p)$ and $x_p^{\alpha} : d_p \to 2$ is a function for each $\alpha \in a_p$. (4) \mathcal{N} is an $(\mathcal{S}, \mathcal{C})$ summatric system
- (4) \mathcal{N}_p is an $(\mathcal{S}, \mathcal{L})$ -symmetric system.
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Given $p, q \in \mathbb{P}$, $q \leq p$ if and only if $\mathcal{N}_q \supseteq \mathcal{N}_p$, $\mathcal{A}_q \supseteq \mathcal{A}_p$, $a_q \supseteq a_p$, $d_q \supseteq d_p$, and $x_q^{\alpha} \supseteq x_p^{\alpha}$, for all $\alpha \in a_p$.
Lemma (model on top)

Let $Q \in S \cup \mathcal{L}$ and $p \in \mathbb{P} \cap Q$. Then, there is a condition $q \leq p$ such that

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Let $p = (x_p, a_p, d_p, \mathcal{N}_p, \mathcal{A}_p)$ and $Q \in \mathcal{N}_p$. Then, we define $p \upharpoonright Q$ by letting $\mathcal{N}_{p \upharpoonright Q} = \mathcal{N}_p \cap Q$, $\mathcal{A}_{p \upharpoonright Q} = \mathcal{A}_p \cap Q$, $a_{p \upharpoonright Q} = a_p \cap Q$, $d_{p \upharpoonright Q} = d_p \cap Q$, and $x_{p \upharpoonright Q}^{\alpha}(\nu) = x_p^{\alpha}(\nu)$, for all $\alpha \in a_{p \upharpoonright Q}$ and $\nu \in d_{p \upharpoonright Q}$.

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Lemma (restriction)

If $p \in \mathbb{P}$ and $Q \in \mathcal{N}_p$, then $p \upharpoonright Q \in \mathbb{P} \cap Q$ and $p \leq p \upharpoonright Q$, if $Q \in \mathcal{L}$ or $Q \in \mathcal{A}_p$.

Strong chains of subsets of ω_1 of length ω_3

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Preservation theorems

Amalgamation lemma

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Let $p \in \mathbb{P}$ and $Q \in \mathcal{N}_p$. Let $q \in \mathbb{P} \cap Q$ and suppose the following holds:

- $q \leq p \restriction Q$.
- φ is a carefully chosen first-order formula with parameters in Q such that $H(\omega_3) \models \varphi(p)$, and $Q \models \varphi(q)$.

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 \mathbb{P} is S-proper and \mathcal{L} -proper. So, \mathbb{P} preserves \aleph_1 and \aleph_2 .

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Lemma

 $(2^{\aleph_1}=\aleph_2)$ $\mathbb P$ has the $\aleph_3\text{-chain condition. So, }\mathbb P$ preserves all cardinals $\geq\aleph_3.$

Theorem (Asperó-G.)

(GCH) There is a forcing notion ${\mathbb P}$ with the following properties:

- $\mathbb P$ is proper, $\aleph_1\text{-proper}$ and has the $\aleph_3\text{-chain}$ condition.
- \mathbb{P} forces the existence of a strong chain of subsets of ω_1 of length ω_3 .

A variation of the forcing should lead to the consistency of the existence of strong chains of length ω_3 of functions from ω_1 to ω_1 . Needs a little bit more work.

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Question

Can we get strong chains of functions from $\omega_1^{\omega_1}$ of length $> \omega_3$?

Thank you for your attention!

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