

The Wadge Hierarchy on 0-dimensional Polish space

Joint work with R. Carroy and L. Motto Ros

Salvatore Scamperti, University of Turin

Winter School in Abstract Analysis 2022
section Set Theory & Topology

Hejnice, February 4th 2022

Definition

Let Z be a topological space. Given $A, B \subseteq Z$ we say that A Wadge reduces to B (briefly $A \leq_W B$) if there exists a continuous $f : Z \rightarrow Z$ such that $f^{-1}(B) = A$.

Our class of spaces: zero-dimensional Polish spaces (P0D), that is zero-dimensional, separable and completely metrizable spaces.

Examples

P0D

$\omega^\omega, 2^\omega, \mathbb{R} \setminus \mathbb{Q}, \alpha \in \omega_1$

Examples

Not P0D

$\mathbb{R}, \ell^p, \mathbb{Q}$

Definition

Let Z be a topological space. Given $A, B \subseteq Z$ we say that A Wadge reduces to B (briefly $A \leq_W B$) if there exists a continuous $f : Z \rightarrow Z$ such that $f^{-1}(B) = A$.

Our class of spaces: zero-dimensional Polish spaces (P0D), that is zero-dimensional, separable and completely metrizable spaces.

Examples P0D

$\omega^\omega, 2^\omega, \mathbb{R} \setminus \mathbb{Q}, \alpha \in \omega_1$

Examples Not P0D

$\mathbb{R}, \ell^p, \mathbb{Q}$

Wadge Game in ω^ω : let $A, B \subseteq \omega^\omega$, $G(A, B)$

I	a_1	a_2	a_3	\dots
II	b_1	p	b_2	\dots

(Wadge) $A \leq_W B \Leftrightarrow$ II has a winning strategy in $G(A, B)$

Wadge Lemma

(AD) Let $A, B \subseteq \omega^\omega$ then $A \leq_W B$ or $\omega^\omega \setminus B \leq_W A$.

Wadge Game in ω^ω : let $A, B \subseteq \omega^\omega$, $G(A, B)$

I	a_1	a_2	a_3	\dots
II	b_1	p	b_2	\dots

where p is for "pass", i.e. II can skip his turn.

(Wadge) $A \leq_W B \Leftrightarrow$ II has a winning strategy in $G(A, B)$

Wadge Lemma

(AD) Let $A, B \subseteq \omega^\omega$ then $A \leq_W B$ or $\omega^\omega \setminus B \leq_W A$.

Wadge Game in ω^ω : let $A, B \subseteq \omega^\omega$, $G(A, B)$

$$\begin{array}{c|cccc} \text{I} & a_1 & a_2 & a_3 & \dots \\ \hline \text{II} & b_1 & b_2 & b_3 & \dots \end{array} \rightarrow a = (a_1, a_2, \dots) \in \omega^\omega$$

$$\rightarrow b = (b_1, b_2, \dots) \in \omega^\omega$$

Winning condition for II:

$a \in A$ if and only if $b \in B$.

(Wadge) $A \leq_W B \Leftrightarrow \text{II has a winning strategy in } G(A, B)$

Wadge Lemma

(AD) Let $A, B \subseteq \omega^\omega$ then $A \leq_W B$ or $\omega^\omega \setminus B \leq_W A$.

Wadge Game in ω^ω : let $A, B \subseteq \omega^\omega$, $G(A, B)$

$$\begin{array}{c|cccc} \text{I} & a_1 & a_2 & a_3 & \dots \\ \hline \text{II} & b_1 & b_2 & b_3 & \dots \end{array} \rightarrow a = (a_1, a_2, \dots) \in \omega^\omega$$

$$\rightarrow b = (b_1, b_2, \dots) \in \omega^\omega$$

Winning condition for II:

$a \in A$ if and only if $b \in B$.

(Wadge) $A \leq_W B \Leftrightarrow$ II has a winning strategy in $G(A, B)$

Wadge Lemma

(AD) Let $A, B \subseteq \omega^\omega$ then $A \leq_W B$ or $\omega^\omega \setminus B \leq_W A$.

Wadge Game in ω^ω : let $A, B \subseteq \omega^\omega$, $G(A, B)$

$$\begin{array}{c|cccc} \text{I} & a_1 & a_2 & a_3 & \dots \\ \hline \text{II} & b_1 & b_2 & b_3 & \dots \end{array} \rightarrow a = (a_1, a_2, \dots) \in \omega^\omega$$

$$\rightarrow b = (b_1, b_2, \dots) \in \omega^\omega$$

Winning condition for II:

$a \in A$ if and only if $b \in B$.

(Wadge) $A \leq_W B \Leftrightarrow$ II has a winning strategy in $G(A, B)$

Wadge Lemma

(AD) Let $A, B \subseteq \omega^\omega$ then $A \leq_W B$ or $\omega^\omega \setminus B \leq_W A$.

Theorem (Martin-Monk)

(AD) $(\mathcal{P}(\omega^\omega), \leq_W)$ is a well-founded quasi-order, called the Wadge quasi-order.

Definition

A subset $A \subseteq \omega^\omega$ is **selfdual** if $A \leq_W \omega^\omega \setminus A$, otherwise A is **non selfdual**. Moreover, if we consider (the Wadge class) $[A] = \{B \in \mathcal{P}(\omega^\omega) \mid A \leq_W B, B \leq_W A\}$, $[A]$ is selfdual if so is A .

Consider the **coarse class**, $[A] \cup [\omega^\omega \setminus A]$, the relation on them induced by the Wadge reduction is a well-order. We define the rank of a coarse class (Wadge class), an ordinal that uniquely identifies the coarse class.

Theorem (Martin-Monk)

(AD) $(\mathcal{P}(\omega^\omega), \leq_W)$ is a well-founded quasi-order, called the Wadge quasi-order.

Definition

A subset $A \subseteq \omega^\omega$ is **selfdual** if $A \leq_W \omega^\omega \setminus A$, otherwise A is **non selfdual**. Moreover, if we consider (the Wadge class) $[A] = \{B \in \mathcal{P}(\omega^\omega) \mid A \leq_W B, B \leq_W A\}$, $[A]$ is selfdual if so is A .

Consider the **coarse class**, $[A] \cup [\omega^\omega \setminus A]$, the relation on them induced by the Wadge reduction is a well-order. We define the rank of a coarse class (Wadge class), an ordinal that uniquely identifies the coarse class.

Theorem (Martin-Monk)

(AD) $(\mathcal{P}(\omega^\omega), \leq_W)$ is a well-founded quasi-order, called the Wadge quasi-order.

Definition

A subset $A \subseteq \omega^\omega$ is **selfdual** if $A \leq_W \omega^\omega \setminus A$, otherwise A is **non selfdual**. Moreover, if we consider (the Wadge class) $[A] = \{B \in \mathcal{P}(\omega^\omega) \mid A \leq_W B, B \leq_W A\}$, $[A]$ is selfdual if so is A .

Consider the **coarse class**, $[A] \cup [\omega^\omega \setminus A]$, the relation on them induced by the Wadge reduction is a well-order. We define the rank of a coarse class (Wadge class), an ordinal that uniquely identifies the coarse class.

Definition

Let α be the rank of a coarse class, we say

- $\alpha \in \text{SD}_{\omega^\omega}$, if the α th coarse class coming from a selfdual subset A ;
- $\alpha \in \text{NSD}_{\omega^\omega}$, if the α th coarse class coming from a non selfdual subset A .

This was due to Wadge (probably), although not stated like this.

Alternating duality: If $\alpha < \Theta_{\omega^\omega}$, $\alpha \in \text{SD}_{\omega^\omega}$ if and only if $\alpha + 1 \in \text{NSD}_{\omega^\omega}$.

Goal: describe the partial-order of the Wadge classes on zero-dimensional Polish spaces up to isomorphism!

Schlicht showed that the structure of Wadge degrees on any non zero-dimensional metric space must contain infinite antichains.

Definition

Let α be the rank of a coarse class, we say

- $\alpha \in \text{SD}_{\omega^\omega}$, if the α th coarse class coming from a selfdual subset A ;
- $\alpha \in \text{NSD}_{\omega^\omega}$, if the α th coarse class coming from a non selfdual subset A .

This was due to Wadge (probably), although not stated like this.

Alternating duality: If $\alpha < \Theta_{\omega^\omega}$, $\alpha \in \text{SD}_{\omega^\omega}$ if and only if $\alpha + 1 \in \text{NSD}_{\omega^\omega}$.

Goal: describe the partial-order of the Wadge classes on zero-dimensional Polish spaces up to isomorphism!

Schlicht showed that the structure of Wadge degrees on any non zero-dimensional metric space must contain infinite antichains.

Definition

Let α be the rank of a coarse class, we say

- $\alpha \in \text{SD}_{\omega^\omega}$, if the α th coarse class coming from a selfdual subset A ;
- $\alpha \in \text{NSD}_{\omega^\omega}$, if the α th coarse class coming from a non selfdual subset A .

This was due to Wadge (probably), although not stated like this.

Alternating duality: If $\alpha < \Theta_{\omega^\omega}$, $\alpha \in \text{SD}_{\omega^\omega}$ if and only if $\alpha + 1 \in \text{NSD}_{\omega^\omega}$.

Goal: describe the partial-order of the Wadge classes on zero-dimensional Polish spaces up to isomorphism!

Schlicht showed that the structure of Wadge degrees on any non zero-dimensional metric space must contain infinite antichains.

On ω^ω or 2^ω

- 1) Well-foundedness
- 2) SLO_W
- 3) Alternating duality
- 4) Study of limit with countable cofinality
- 5) Study of limit with uncountable cofinality
- 6) Length of the hierarchy

General P0D Z

- 1) ✓
- 2) ✓
- 3) ?
- 4) ?
- 5) ?
- 6) ?

On ω^ω or 2^ω

- 1) Well-foundedness
- 2) SLO_W
- 3) Alternating duality
- 4) Study of limit with countable cofinality
- 5) Study of limit with uncountable cofinality
- 6) Length of the hierarchy

General POD Z

- 1) ✓
- 2) ✓
- 3) ?
- 4) ?
- 5) ?
- 6) ?

Let Z be a zero-dimensional Polish space.

Alternating duality: if $\alpha < \Theta_Z$, $\alpha \in \text{SD}_Z$ if and only if $\alpha + 1 \in \text{NSD}_Z$.

Theorem (R. Carroy, L. Motto Ros, S.)

Let Z be zero-dimensional Polish space and let $\alpha < \Theta_Z$ be in NSD_Z . Then $\alpha + 1 \in \text{SD}_Z$.

Remark

The proof of the theorem is different depending on whether Z is countable or not. If Z is uncountable this can be obtained as consequence of the description of the Wadge Hierarchy on the Baire space via tools in Carroy-Medini-Müller(2022), while in countable case one needs a constructive proof.

Let Z be a zero-dimensional Polish space.

Alternating duality: if $\alpha < \Theta_Z$, $\alpha \in \text{SD}_Z$ if and only if $\alpha + 1 \in \text{NSD}_Z$.

Theorem (R. Carroy, L. Motto Ros, S.)

Let Z be zero-dimensional Polish space and let $\alpha < \Theta_Z$ be in NSD_Z . Then $\alpha + 1 \in \text{SD}_Z$.

Remark

The proof of the theorem is different depending on whether Z is countable or not. If Z is uncountable this can be obtained as consequence of the description of the Wadge Hierarchy on the Baire space via tools in Carroy-Medini-Müller(2022), while in countable case one needs a constructive proof.

Theorem (Wadge, Carroy-Medini-Müller)

Let Z be a zero-dimensional Polish space and $A \subseteq Z$. The set A is selfdual if and only if there exists a pairwise disjoint partition $(U_n)_{n \in \omega}$ of Z in clopen subsets such that for each $n \in \omega$ there exists $A_n \subseteq Z$ non selfdual subset satisfying

$$A_n <_W A \text{ and } \bigcup_{n \in \omega} A_n \cap U_n = A.$$

Corollary

Let Z be a zero-dimensional Polish space and $\alpha < \Theta_Z$ a limit ordinal of countable cofinality. If $\alpha \in \text{SD}_Z$ then Z is not compact.

Theorem (Wadge, Carroy-Medini-Müller)

Let Z be a zero-dimensional Polish space and $A \subseteq Z$. The set A is selfdual if and only if there exists a pairwise disjoint partition $(U_n)_{n \in \omega}$ of Z in clopen subsets such that for each $n \in \omega$ there exists $A_n \subseteq Z$ non selfdual subset satisfying

$$A_n <_W A \text{ and } \bigcup_{n \in \omega} A_n \cap U_n = A.$$

Corollary

Let Z be a zero-dimensional Polish space and $\alpha < \Theta_Z$ a limit ordinal of countable cofinality. If $\alpha \in \text{SD}_Z$ then Z is not compact.

Proposition

Let Z be a zero-dimensional Polish space, let α, β be two coarse classes. If $\alpha < \beta$ and $\alpha, \beta \in \text{SD}_Z$ then there exists $\rho \in \text{NSD}_Z$ such that $\alpha < \rho < \beta$.

Alternating duality Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be a zero-dimensional Polish space, and let $\alpha < \Theta_Z$ then $\alpha \in \text{SD}_Z$ if and only if $\alpha + 1 \in \text{NSD}_Z$.

Proposition

Let Z be a zero-dimensional Polish space, let α, β be two coarse classes. If $\alpha < \beta$ and $\alpha, \beta \in \text{SD}_Z$ then there exists $\rho \in \text{NSD}_Z$ such that $\alpha < \rho < \beta$.

Alternating duality Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be a zero-dimensional Polish space, and let $\alpha < \Theta_Z$ then $\alpha \in \text{SD}_Z$ if and only if $\alpha + 1 \in \text{NSD}_Z$.

On ω^ω or 2^ω

- 1) Well-foundedness
- 2) SLO_W
- 3) Alternating duality
- 4) Study of limit with countable cofinality
- 5) Study of limit with uncountable cofinality
- 6) Length of the hierarchy

General P0D Z

- 1) ✓
- 2) ✓
- 3) ✓
- 4) ?
- 5) ?
- 6) ?

Proposition

Let Z be a zero-dimensional Polish space and $\alpha < \Theta_Z$. If α is a limit ordinal with $\text{cof}(\alpha) = \omega_1$ then $\alpha \in \text{NSD}_Z$.

Remark

The case $Z = 2^\omega, \omega^\omega$ are due to Wadge.

Definition

Let Z be a topological space then we define the perfect kernel of Z as

$$\ker_p(Z) = \{x \in Z \mid x \text{ is an accumulation point of } Z\}$$

where $x \in Z$ is an accumulation point if and only if each neighborhood U of x is uncountable.

Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be an uncountable zero-dimensional Polish space and let α be a limit ordinal with $\text{cof}(\alpha) = \omega$. If $\ker_p(Z)$ is not compact then $\alpha \in \text{SD}_Z$.

What if $\ker_p(Z)$ is compact?

Definition

Let Z be a topological space then we define the perfect kernel of Z as

$$\ker_p(Z) = \{x \in Z \mid x \text{ is an accumulation point of } Z\}$$

where $x \in Z$ is an accumulation point if and only if each neighborhood U of x is uncountable.

Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be an uncountable zero-dimensional Polish space and let α be a limit ordinal with $\text{cof}(\alpha) = \omega$. If $\ker_p(Z)$ is not compact then $\alpha \in \text{SD}_Z$.

What if $\ker_p(Z)$ is compact?

Definition

Let Z be a topological space then we define the perfect kernel of Z as

$$\ker_p(Z) = \{x \in Z \mid x \text{ is an accumulation point of } Z\}$$

where $x \in Z$ is an accumulation point if and only if each neighborhood U of x is uncountable.

Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be an uncountable zero-dimensional Polish space and let α be a limit ordinal with $\text{cof}(\alpha) = \omega$. If $\ker_p(Z)$ is not compact then $\alpha \in \text{SD}_Z$.

What if $\ker_p(Z)$ is compact?

Recall that the Cantor-Bendixon derivatives on a topological space Z are defined as follows: $CB_0(Z) = Z$,
 $CB_{\gamma+1}(Z) = CB_\gamma(Z) \setminus \{x \in CB_\gamma(Z) \mid x \text{ is isolated points in } CB_\gamma(Z)\}$,
 $CB_\lambda(Z) = \bigcap_{\mu < \lambda} CB_\mu(Z)$ when λ is a limit ordinal.

Definition

Let $\text{Comp}(Z) = \min\{\gamma \in \omega_1 \mid CB_\gamma(Z) \text{ is compact}\}$, where $CB_\gamma(Z)$ is the Cantor-Bendixon derivatives.

Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be a zero-dimensional Polish space such that $\ker_p(Z)$ compact, and let $\alpha < \Theta_Z$. If α is a limit ordinal with $\text{cof}(\alpha) = \omega$ then

- if $\alpha < \text{Comp}(Z)$ then $\alpha \in \text{SD}_Z$;
- if $\alpha > \text{Comp}(Z)$ then $\alpha \in \text{NSD}_Z$.

Recall that the Cantor-Bendixon derivatives on a topological space Z are defined as follows: $CB_0(Z) = Z$,
 $CB_{\gamma+1}(Z) = CB_\gamma(Z) \setminus \{x \in CB_\gamma(Z) \mid x \text{ is isolated points in } CB_\gamma(Z)\}$,
 $CB_\lambda(Z) = \bigcap_{\mu < \lambda} CB_\mu(Z)$ when λ is a limit ordinal.

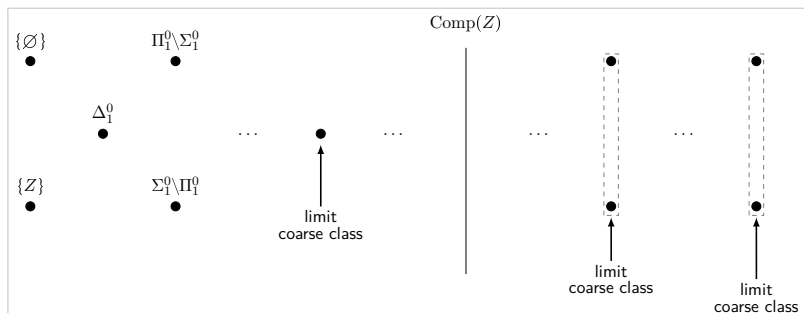
Definition

Let $\text{Comp}(Z) = \min\{\gamma \in \omega_1 \mid CB_\gamma(Z) \text{ is compact}\}$, where $CB_\gamma(Z)$ is the Cantor-Bendixon derivatives.

Theorem (Wadge, Carroy-Motto Ros-S.)

Let Z be a zero-dimensional Polish space such that $\ker_p(Z)$ compact, and let $\alpha < \Theta_Z$. If α is a limit ordinal with $\text{cof}(\alpha) = \omega$ then

- if $\alpha < \text{Comp}(Z)$ then $\alpha \in \text{SD}_Z$;
- if $\alpha > \text{Comp}(Z)$ then $\alpha \in \text{NSD}_Z$.



On ω^ω or 2^ω

- 1) Well-foundedness
- 2) SLO_W
- 3) Alternating duality
- 4) Study of limit with countable cofinality
- 5) Study of limit with uncountable cofinality
- 6) Length of the hierarchy

General P0D Z

- 1) ✓
- 2) ✓
- 3) ✓
- 4) ✓
- 5) ✓
- 6) ?

Length of the Wadge hierarchy

Z is uncountable $\rightsquigarrow \Theta$.

Z is countable and $\text{CB}(Z)$ is limit $\rightsquigarrow \text{CB}(Z)$.

If Z is countable and $\text{CB}(Z) = \lambda + n + 1$

Comp $Z < \lambda$	$\lambda + 2n + 2$	$\lambda + 2n + 3$
Comp $Z > \lambda$	$\lambda + 2n + 1$	$\lambda + 2n + 2$
	$ \text{CB}_{\lambda+n}(Z) =1$	$ \text{CB}_{\lambda+n}(Z) >1$

Thank you!