

# Singular Compact Logics

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with Will Boney and Stamatis Dimopoulos )

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Combinatorial properties of singular cardinals are somewhat special.

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**Question** Is there a compact logic associated to singular cardinals ?

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The project is to try to get combinatorial theorems about singular cardinals such as  $\beth_\omega$  as consequence of compactness at a singular cardinals of strong logics,

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*(Erdős-Tarski 1949) If a Boolean algebra has an antichain of any size  $< \kappa$ , then it has an antichain of size  $\kappa$ .*

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## Theorem

*(Shelah) If every subset of size  $< \kappa$  of a graph  $G$  of size  $\kappa$  has the coloring number  $\leq \lambda < \kappa$ , then so does  $G$ .*



# Some open questions about the singulars

A question that came from work related to Vaught's conjecture:

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## Question

*If a Banach space has a biorthogonal sequence of ever length  $< \kappa$ , then it has a biorthogonal sequence of length  $\kappa$ .*

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# Some large cardinals

Say that a set of sentences is  $\kappa$ -satisfiable iff every subset of size  $< \kappa$  has a model.

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Tarski (1962) defined a *strongly compact* cardinal to be an uncountable  $\kappa$  such that every  $\kappa$ -satisfiable set of  $L_{\kappa, \kappa}$ -sentences is satisfiable.

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Say that a set of sentences is  $\kappa$ -satisfiable iff every subset of size  $< \kappa$  has a model.

Tarski (1962) defined a *strongly compact* cardinal to be an uncountable  $\kappa$  such that every  $\kappa$ -satisfiable set of  $L_{\kappa, \kappa}$ -sentences is satisfiable.

As we know, strong compactness is a large cardinal notion, equivalently defined in various other ways.

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# From countable to cofinality $\omega$

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A typical chain model  $A$  with decomposition  $\langle A_n : n < \omega \rangle$  is denoted by  $(A_n)_n$ . It is mostly interesting when  $\kappa$  is a strong limit and  $2^{|A_n|} < |A_{n+1}|$ .

# Chain decomposition and satisfaction

In order to define the logic of chain models we need to change the definition of  $\models$ ,

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## Example

Consider the sentence “ $<$  is a well order”.

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The spirit here is that  $L_{\kappa, \kappa}^c$  behaves very much like  $L_{\omega_1, \omega}$ .

# Scott-like analysis

In joint work with Väänänen in 2011, we analysed the family of chain models coded as the elements of the topological space  $\kappa^\omega$ ,  $\kappa$  strong limit,  $\text{cf}(\kappa) = \omega$

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This theorem completed the classical analysis of the chain logic and found applications in generalised descriptive set theory through the work of Moto Ross et al.

# Second order incompleteness

We have studied the second order or restricted second order versions of  $L_{\kappa, \kappa}^C$  since the applications are sometimes expressed in that way.

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# Second order incompleteness

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We have studied the second order or restricted second order versions of  $L_{\kappa, \kappa}^C$  since the applications are sometimes expressed in that way. Set variables bounded by an element of a chain.

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## Theorem

*Second order logic is not countably compact even in chain models.*

Proof by constructing a counterexample using the notion of a well order.

# Chu transforms to compare logics

**Definition** A *Chu space* is a triple  $(A, r, X)$

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# Chu transforms to compare logics

**Definition** A *Chu space* is a triple  $(A, r, X)$  where  $A$  is a set of points,  $X$  is a set of states and the function  $r : A \times X \rightarrow \{0, 1\}$  is binary relation.

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A *Chu transform* between Chu spaces  $(A, r, X)$  and  $(A', r', X')$  is a pair of functions  $f : A \rightarrow A'$ ,  $g : X' \rightarrow X$  and which satisfies the *adjointness condition*  $r'(f(a), x') = r(a, g(x'))$ .

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We consider Chu spaces  $(L, \models, S)$  where

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We consider Chu spaces  $(L, \models, S)$  where  $L$  is a set of sentences closed under conjunctions,  $S$  a set or a class of structures of the same signature as the sentences in  $L$  and

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We consider Chu spaces  $(L, \models, S)$  where  $L$  is a set of sentences closed under conjunctions,  $S$  a set or a class of structures of the same signature as the sentences in  $L$  and  $\models$  a relation between the elements of  $S$  and the elements of  $L$ , whose interpretation is a satisfaction relation which satisfies Tarski's definition of truth for the quantifier-free formulas.

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# Using Chu in abstract logic

**Definition** We say  $(L, \models, S) \leq (L', \models', S')$

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# Using Chu in abstract logic

**Definition** We say  $(L, \models, S) \leq (L', \models', S')$  if there is a Chu transform  $(f, g)$  between  $(L, \models, S)$  and  $(L', \models', S')$  where  $f$  preserves the logical operations and such that the range of  $g$  is *dense* in the following sense

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- for every  $\phi \in L$  for which there is  $s \in S$  with  $s \models \phi$ , there is  $s \in \text{ran}(g)$  with  $s \models \phi$ .

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As an example, any  $g$  which is onto will clearly satisfy the density condition.

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As an example, any  $g$  which is onto will clearly satisfy the density condition.

**Theorem** Suppose that  $(L, \models, S) \leq (L', \models', S')$  and  $(L', \models', S')$  is compact. Then so is  $(L, \models, S)$ .

# Incompactness for chains

**Theorem (1)**  $(L_{\kappa, \omega}, \models, \mathcal{M}) \leq L_{\kappa, \kappa}^{\mathcal{C}, W}$ .

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# Incompactness for chains

**Theorem (1)**  $(L_{\kappa, \omega}, \models, \mathcal{M}) \leq L_{\kappa, \kappa}^{\mathcal{C}, W}$ .

(2) If  $\kappa$  is a strong limit cardinal then

$(L_{\kappa, \omega}, \models, \mathcal{M}_{\geq \kappa}) \leq L_{\kappa, \kappa}^{\mathcal{C}}$ .

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**Proof.**

(1) Let  $f$  be the identity function and let

$g((M_n)_{n < \omega}) = \bigcup_{n < \omega} M_n$ . Notice that  $g$  is onto.

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**Proof.**

(1) Let  $f$  be the identity function and let

$g((M_n)_{n < \omega}) = \bigcup_{n < \omega} M_n$ . Notice that  $g$  is onto.

(2) The same pair  $(f, g)$  will still be a Chu transform, but it is not immediate that  $g$  satisfies the density condition, as it now acts only on proper chain models, so of size  $\kappa$  or some other singular cardinal of countable cofinality.



# Incompactness for chains

**Theorem (1)**  $(L_{\kappa,\omega}, \models, \mathcal{M}) \leq L_{\kappa,\kappa}^{C,W}$ .

(2) If  $\kappa$  is a strong limit cardinal then

$(L_{\kappa,\omega}, \models, \mathcal{M}_{\geq\kappa}) \leq L_{\kappa,\kappa}^C$ .

**Proof.**

(1) Let  $f$  be the identity function and let

$g((M_n)_{n<\omega}) = \bigcup_{n<\omega} M_n$ . Notice that  $g$  is onto.

(2) The same pair  $(f, g)$  will still be a Chu transform, but it is not immediate that  $g$  satisfies the density condition, as it now acts only on proper chain models, so of size  $\kappa$  or some other singular cardinal of countable cofinality.

The conclusion uses a Downward Lowenheim-Skolem theorem for  $L_{\kappa,\omega}$  (Theorem 3.4.1 in Dickmann's book):

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The conclusion uses a Downward Lowenheim-Skolem theorem for  $L_{\kappa, \omega}$  (Theorem 3.4.1 in Dickmann's book):

**Lemma** Assume that  $\kappa$  is a strong limit cardinal. Then any sentence  $\varphi$  of  $L_{\kappa, \omega}$  that has a model of size  $\geq \kappa$  also has a model of size  $\kappa$ .

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**Proof.**

(1) Let  $f$  be the identity function and let

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The lemma implies the density of  $g$ , since any model of  $L_{\kappa, \omega}$  size  $\kappa$  can be represented as a proper chain model and the two will satisfy the same sentences.

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# The incompactness of $L_{\kappa, \kappa}^C$

The argument with Chu transforms gives the incompactness of  $L_{\kappa, \kappa}^{W, C}$  but not of  $L_{\kappa, \kappa}^C$ , because of the lack of an Upwards LS. However,

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**Theorem**  $L_{\kappa, \kappa}^C$  is not  $\kappa$ -compact.

We shall construct a set  $\Gamma$  of  $L_{\kappa, \kappa}^C$ -sentences which is  $(< \kappa)$ -satisfiable but not  $\kappa$ -satisfiable.

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**Lemma** There is a  $L_{\kappa, \omega_1}$ -sentence  $\theta$  in the language consisting of one binary predicate  $<^*$  whose models are exactly the models of size  $\kappa$  where  $<^*$  is a well order of the domain of order type  $\kappa$ .

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**Proof.**

By singularity, it suffices to find a  $L_{\kappa^+, \omega_1}$ -sentence  $\theta$ .

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- $<^*$  is a linear order (this is a first order sentence),

# The incompleteness of $L_{\kappa, \kappa}^C$

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**Lemma** There is a  $L_{\kappa, \omega_1}$ -sentence  $\theta$  in the language consisting of one binary predicate  $<^*$  whose models are exactly the models of size  $\kappa$  where  $<^*$  is a well order of the domain of order type  $\kappa$ .

**Proof.**

By singularity, it suffices to find a  $L_{\kappa^+, \omega_1}$ -sentence  $\theta$ .

- $<^*$  is a linear order (this is a first order sentence),
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# The incompleteness of $L_{\kappa, \kappa}^C$

The argument with Chu transforms gives the incompleteness of  $L_{\kappa, \kappa}^{W, C}$  but not of  $L_{\kappa, \kappa}^C$ , because of the lack of an Upwards LS. However,

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- every  $x$  has  $< \kappa$  many predecessors in  $<^*$ .

continued ...

$\Gamma$  is in the language  $\{<^*\} \cup \{c_\alpha : \alpha < \kappa\} \cup \{d\}$  as

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# Ordered logic

Keisler 1968 and Fuhrken 1965 discovered two logics which are compact for singular cardinals.

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**Definition** (1) The  $\kappa$ -like logic  $L^{\langle\langle, \kappa}$  is a first order logic given in a countable relational language  $\mathcal{L}$  with a distinguished binary relation symbol  $\langle$ , with models

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(2) The logic  $L(Q_\kappa)$  is the ordinary first order logic enriched with a new quantifier  $Q_\kappa$  interpreted as “there exist at least  $\kappa$ ”.

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**Definition** (1) The  $\kappa$ -like logic  $L^{<,\kappa}$  is a first order logic given in a countable relational language  $\mathcal{L}$  with a distinguished binary relation symbol  $<$ , with models those models of  $\mathcal{L}$  where the interpretation of  $<$  is a linear order in which every element has  $< \kappa$  predecessors. Such models are called  $\kappa$ -models.

(2) The logic  $L(Q_\kappa)$  is the ordinary first order logic enriched with a new quantifier  $Q_\kappa$  interpreted as “there exist at least  $\kappa$ ”.

**Theorem** [Keisler 1968, Fuhrken 1965 for  $\text{cf}(\kappa) > \omega$ ] If  $\kappa$  is a strong limit singular, then both  $L^{<,\kappa}$  and  $L(Q_\kappa)$  are compact.

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We are studying combinatorial consequences of these compactness statements.

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We are studying mixing such logics with Keisler's logic.

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