

# The generalized meager ideal and clubs

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$\kappa$  will always be a regular uncountable cardinal.

### Definition

We endow  $2^\kappa$  with the topology generated by basic open sets of the form  $[s] = \{x \in 2^\kappa : s \subseteq x\}$  for  $s \in 2^{<\kappa}$ .

### Definition

A set  $X \subseteq 2^\kappa$  is called nowhere dense if

$\forall s \in 2^{<\kappa} \exists s' \in 2^{<\kappa} (s \subseteq s' \wedge [s'] \cap X = \emptyset)$ .

$X \subseteq 2^\kappa$  is meager if it is the union of  $\kappa$  many nowhere dense sets.

The ideal of meager sets is denoted with  $\mathcal{M}_\kappa$ .

As usual we define cardinal characteristics related to this ideal:

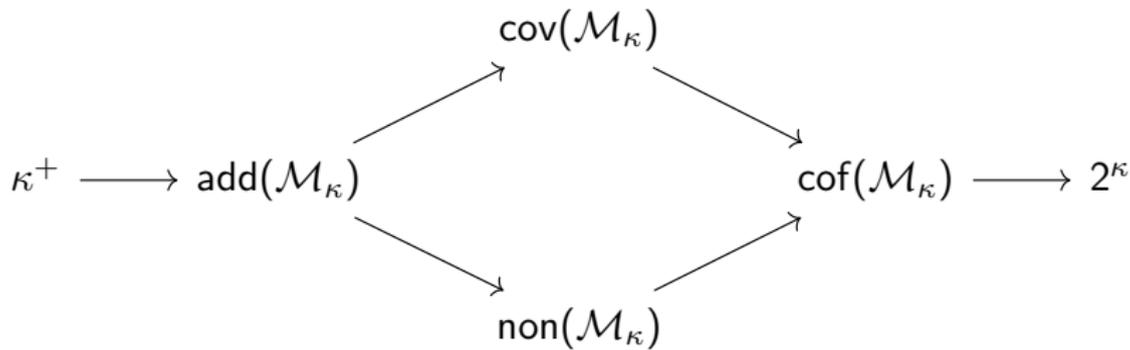
### Definition

$$\text{add}(\mathcal{M}_\kappa) = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{M}_\kappa \wedge \bigcup \mathcal{B} \notin \mathcal{M}_\kappa\}$$

$$\text{cov}(\mathcal{M}_\kappa) = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{M}_\kappa \wedge \bigcup \mathcal{B} = 2^\kappa\}$$

$$\text{non}(\mathcal{M}_\kappa) = \min\{|X| : X \subseteq 2^\kappa \wedge X \notin \mathcal{M}_\kappa\}$$

$$\text{cof}(\mathcal{M}_\kappa) = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{M}_\kappa \wedge \mathcal{I}(\mathcal{B}) = \mathcal{M}_\kappa\}$$



One of the classical theorems that hold true at  $\omega$  is:

### Theorem

$$\mathfrak{t} \leq \text{add}(\mathcal{M}).$$

We generalized this theorem to  $\kappa$ .

### Definition

A ( $\kappa$ -)tower is a sequence  $\langle A_\alpha : \alpha < \delta \rangle$  maximal with the properties:

- $\forall \alpha < \lambda (A_\alpha \in [\kappa]^\kappa)$
- $\forall \alpha < \beta (A_\beta \subseteq^* A_\alpha)$
- $\forall I \in [\delta]^{<\kappa} (\bigcap_{\alpha \in I} A_\alpha \in [\kappa]^\kappa)$

The tower number  $\mathfrak{t}(\kappa)$  is the least possible  $\delta$ .

## Theorem

Assume  $\kappa^{<\kappa} = \kappa$ , then  $\mathfrak{t}(\kappa) \leq \text{add}(\mathcal{M}_\kappa)$ .

In order to prove the theorem we needed to introduce the following notion of club subset of  $2^{<\kappa}$ :

## Definition

Let  $C \subseteq 2^{<\kappa}$ . Then we call  $C$  club iff:

- $\forall s \in 2^{<\kappa} \exists s' \supseteq s (s' \in C)$
- for every sequence  $\langle s_i : i < \delta \rangle$  where  $\delta < \kappa$ ,  $s_i \in C$  for every  $i < \delta$  and  $s_i \subseteq s_j$  for  $i < j$ ,  $\bigcup_{i < \delta} s_i \in C$ .

The intersection of less than  $\kappa$  many clubs is still club.

We write  $C \subseteq^{**} D$  whenever  $C \setminus 2^{<\alpha} \subseteq D$  for some  $\alpha < \kappa$ .

### Sketch of proof of $\mathfrak{t}(\kappa) \leq \text{add}(\mathcal{M}_\kappa)$ .

Assume  $\langle Y_\alpha : \alpha < \lambda \rangle$  are open dense sets in  $2^\kappa$  and  $\lambda < \mathfrak{t}(\kappa)$ . We can write  $Y_\alpha = \bigcup_{s \in S_\alpha} [s]$  where  $S_\alpha$  is upwards closed.

We will construct a  $\subseteq^{**}$  tower  $\langle D_\alpha : \alpha < \lambda \rangle$  consisting of clubs on  $2^{<\kappa}$ , so that  $D_\alpha \subseteq S_\alpha$  for each  $\alpha$ .

- Start with  $D_0 = S_0$ .
- The successor steps are easy:  $D_{\alpha+1} = D_\alpha \cap S_{\alpha+1}$ .
- Limits of cofinality  $< \kappa$  are easy by taking intersections.
- For limits of cofinality  $\geq \kappa$  we use that we have a sequence of length  $< \mathfrak{t}(\kappa)$  and some combinatorial tricks to get a club  $\subseteq^{**}$  pseudointersection.

Finally find  $D$  a club  $\subseteq^{**}$  of  $\langle D_\alpha : \alpha < \lambda \rangle$ .  $Y := \bigcap_{i < \kappa} \bigcup_{\text{lth}(s) \geq i} [s]$  is comeager and subset of every  $Y_\alpha$ .



The following is a very useful characterization of the bounding number:

### Lemma

$\mathfrak{b}(\kappa) = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{C}, \mathcal{B} \text{ has no pseudointersection}\}$  where  $\mathcal{C}$  is the set of clubs on  $\kappa$ .

### Sketch of proof.

Basic idea:

For any  $f \in \kappa^\kappa$ ,  $C_f = \{\alpha < \kappa : f''\alpha \subseteq \alpha\}$  is club and  $f \leq^* g$  implies  $C_g \subseteq^* C_f$ .

For any  $C$  club,  $f_C(\alpha) := \min C \cap (\alpha, \kappa)$ .  $C \subseteq^* D$  implies  $f_D \leq^* f_C$ . □

This also gives a relatively easy proof of:

### Theorem

$\mathfrak{t}(\kappa) \leq \mathfrak{b}(\kappa)$ .

and the proof of  $\mathfrak{s}(\kappa) \leq \mathfrak{b}(\kappa)$  becomes simple to explain:

Theorem (D. Raghavan, S. Shelah)

$\mathfrak{s}(\kappa) \leq \mathfrak{b}(\kappa)$ .

Proof.

Let  $\mathcal{B}$  be an unbounded family of clubs and  $M$  an elementary submodel of size  $|\mathcal{B}|$  containing  $\mathcal{B}$ .

Suppose  $x \in [\kappa]^\kappa$  is unsplit over  $M$ . Then  $x$  generates an ultrafilter  $\mathcal{U} = \{y \in M : x \subseteq^* y\}$  over  $M$ ,  $\kappa$ -complete over  $M$ .

$\mathcal{U}$  can be “normalized” via a function  $f$ , i.e.

$\mathcal{V} = \{y \in M : f^{-1}(y) \in \mathcal{U}\}$  is a normal ultrafilter over  $M$ . Thus extending the club filter. But  $x$  induces a pseudointersection  $(f''x)$  of  $\mathcal{V} \supseteq \mathcal{B}$ . □

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and dually:

### Lemma

$\mathfrak{d}(\kappa) = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{C}, \mathcal{B} \text{ is a base of } \mathcal{C}\}$ .

In this light it is natural to define:

### Definition

$\mathfrak{p}_{2^{<\kappa}} = \min\{|\mathcal{B}| : \mathcal{B} \subseteq \mathcal{C}_{2^{<\kappa}}, \mathcal{B} \text{ has no } \subseteq^{**} \text{ pseudointersection}\}$   
where  $\mathcal{C}_{2^{<\kappa}}$  is the set of clubs on  $2^{<\kappa}$ .

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where  $\mathcal{C}_{2^{<\kappa}}$  is the set of clubs on  $2^{<\kappa}$ .

## Lemma

If  $\kappa^{<\kappa} = \kappa$ ,  $\mathfrak{p}_{2^{<\kappa}} \leq \text{add}(\mathcal{M}_\kappa)$ .

## Proof.

Given a  $\langle Y_\alpha : \alpha < \lambda \rangle$  open dense, we find  $\langle S_\alpha : \alpha < \lambda \rangle$  clubs so that  $Y_\alpha = \bigcup_{s \in S_\alpha} [s]$  for every  $\alpha$ .

If  $S$  is a club  $\subseteq^{**}$  pseudointersection of  $\langle S_\alpha : \alpha < \lambda \rangle$ , then  $Y := \bigcap_{i < \kappa} \bigcup_{\substack{s \in D, \\ \text{lth}(s) \geq i}} [s]$  is comeager and subset of every  $Y_\alpha$ . □

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If  $\kappa^{<\kappa} = \kappa$ ,  $\mathfrak{p}_{2^{<\kappa}} \leq \text{add}(\mathcal{M}_\kappa)$ .

## Lemma

$\mathfrak{p}_{2^{<\kappa}} \leq \mathfrak{b}(\kappa)$ .

## Proof.

Given  $\mathcal{B}$  a family of clubs on  $\kappa$  with no pseudointersection, we find that  $\{\bigcup_{\alpha \in B} 2^\alpha : B \in \mathcal{B}\}$  has no  $\subseteq^{**}$  pseudointersection.  $\square$

## Theorem

Assume  $\kappa^{<\kappa} = \kappa$ , then  $\mathfrak{p}_{2^{<\kappa}} = \min\{\mathfrak{b}(\kappa), \text{cov}(\mathcal{M}_\kappa)\}$ .

## Proof.

Let  $\{C_\alpha : \alpha < \lambda\}$  be a family of clubs on  $2^{<\kappa}$  with  $\lambda < \text{cov}(\mathcal{M}_\kappa), \mathfrak{b}(\kappa)$ .

Consider the sets  $Y_\alpha = \bigcap_{i < \kappa} \bigcup_{\substack{s \in C_\alpha, \\ s \notin 2^{<i}}} [s]$  and let  $Y := \bigcap_{\alpha < \lambda} Y_\alpha$ .

We find a dense subset  $\{x_i : i < \kappa\} \subseteq Y$ .

Note that for every  $i < \kappa$  and every  $\alpha < \lambda$ , the set  $C_\alpha^i = \{j < \kappa : x_j \upharpoonright j \in C_\alpha\}$  is a club on  $\kappa$ .

As  $\lambda < \mathfrak{b}(\kappa)$ , for each  $i < \kappa$ ,  $\mathcal{B}_i = \{C_\alpha^i : \alpha < \lambda\}$  has a pseudointersection  $B_i \in [\kappa]^\kappa$ .

Again applying  $\lambda < \mathfrak{b}(\kappa)$  we can find a function  $f \in \kappa^\kappa$  so that

$$\forall \alpha < \lambda (|\kappa \setminus \{i \in \kappa : B_i \setminus 2^{<f(i)} \subseteq C_\alpha^i\}| < \kappa).$$

## Proof.

Now enumerate  $2^{<\kappa}$  as  $\langle s_i : i < \kappa \rangle$  and for every  $i$  find  $\sigma_i \supseteq s_i$  so that  $\sigma_i \in B_j \setminus 2^{<f(j)}$  for some  $j > i$ .

The collection  $C' = \{\sigma_i : i \in \kappa\}$  is unbounded in  $2^{<\kappa}$ . Furthermore we have that  $C' \subseteq^* C_\alpha$  for every  $\alpha$ . If  $C$  is the closure of  $C'$ , then  $C \subseteq^{**} C_\alpha$  for every  $\alpha$ .

Thus we have shown that  $\{C_\alpha : \alpha < \lambda\}$  has a  $\subseteq^{**}$  pseudointersection which is club.



## Theorem

Assume  $\kappa^{<\kappa} = \kappa$ , then  $\mathfrak{p}_{2<\kappa} = \min\{\mathfrak{b}(\kappa), \text{cov}(\mathcal{M}_\kappa)\}$ .

By an unpublished result of J. Brendle, we have that  $\text{add}(\mathcal{M}_\kappa) \leq \mathfrak{b}(\kappa)$  (which was previously only known for  $\kappa$  inaccessible). From this we get the following corollary.

## Corollary

$\mathfrak{p}_{2<\kappa} = \text{add}(\mathcal{M}_\kappa)$ .

## Proof.

If  $\kappa^{<\kappa} = \kappa$ , then  $\min\{\mathfrak{b}(\kappa), \text{cov}(\mathcal{M}_\kappa)\} = \mathfrak{p}_{2<\kappa} \leq \text{add}(\mathcal{M}_\kappa) \leq \mathfrak{b}(\kappa), \text{cov}(\mathcal{M}_\kappa)$ .

If  $\kappa^{<\kappa} > \kappa$ , then  $\kappa^+ \leq \mathfrak{p}_{2<\kappa} \leq \text{add}(\mathcal{M}_\kappa) = \kappa^+$ . □

## Theorem

Assume  $\kappa^{<\kappa} = \kappa$ , then  $\mathfrak{p}_{2^{<\kappa}} = \min\{\mathfrak{b}(\kappa), \text{cov}(\mathcal{M}_\kappa)\}$ .

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## Corollary

$\mathfrak{p}_{2^{<\kappa}} = \text{add}(\mathcal{M}_\kappa)$ .

But the following seems to be open:

## Question

*Is  $\text{add}(\mathcal{M}_\kappa) < \mathfrak{b}(\kappa)$  consistent (possibly assuming LC)? I.e. is  $\mathfrak{p}_{2^{<\kappa}} < \mathfrak{b}(\kappa)$  consistent?*

## Question

*How much are clubs on  $\kappa$  and on  $2^{<\kappa}$  related?*

Thanks for your attention!